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Title: A revised L-band radio-brightness sensitivity to extreme winds under Tropical Cyclones: the 5 years SMOS-storm database

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Highlights

- 5 years of SMOS radiometer L-band data intercepts with Tropical Cyclones are analysed
- The storm-induced brightness contrast ΔI monotonically increases with their intensity
- In average, the brightest ΔI are found in the right-hand side quadrants of the storms
- A quadratic relationship relates ΔI and the 10 m height surface wind speed (SWS)
- SWS can be retrieved from SMOS with an rms error of 5 m/s up to 50 m/s

1 A revised L-band radio-brightness sensitivity to
2 extreme winds under Tropical Cyclones:
3 The 5 years SMOS-storm database
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24 Ocean Salinity Mission: Achievements and novel applications after 5 years in orbit"
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Abstract

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33 Five years of SMOS L-band brightness temperature data intercepting a large number of Tropical
34 Cyclones (TC) are analysed. The storm-induced half-power radio-brightness contrasts ΔI (differences
35 between the brightness observed at some wind force and the one of the smooth water surface with the
36 same physical parameters) have been estimated for ~ 300 SMOS intercepts of TCs, and further expressed
37 in a common storm-centric coordinate system. As found, the mean brightness contrasts monotonically
38 increase with storm intensities from about 5K for tropical storms to ~ 22 K for the most intense category
39 5 TCs, illustrating the strong potential of SMOS data to help better monitoring TC intensification. A
40 remarkable feature of the 2D mean ΔI fields and their variability is that maxima are systematically found
41 on the right quadrants of the storms, consistent with the reported asymmetric structure of the wind and
42 wave fields in hurricanes. An empirical Geophysical Model Function is further derived using a large
43 ensemble of co-located SMOS ΔI , aircraft and analysed H*Wind surface wind speed data, revealing a
44 quadratic relationship between the ΔI and the 10 m height surface wind speed U_{10} . Using co-located rain
45 rate estimates, we also show that the L-band radio-brightness contrasts might be weakly affected by rain
46 or ice-phase clouds. Neglecting that impact, we compared SMOS, ECMF and NCEP winds to a large
47 ensemble of H*WIND 2D fields to confirm that the surface wind speed in TCs can effectively be
48 retrieved from SMOS data with an rms error on the order of 4-5 m/s up to 50 m/s. The SMOS wind
49 speed products above hurricane force (32 m/s) are generally much more accurate than the one from NWP
50 and ASCAT products, which are found to largely and systematically underestimate the surface wind
51 speed in these extreme conditions.

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56 **1 INTRODUCTION**

57

58 The measurement of surface wind speed in Tropical Cyclone (TC) is of primary importance for
59 improving storm tracks and intensity forecasts but getting accurate direct, and remote, measurements at
60 sea surface level still remain very challenging in these extreme conditions (Powell, 2010). With active
61 remote sensing methods of wind measurement saturating in hurricane force winds (e.g., Donnelly et al.,
62 1999) and heavily suffering from rain contamination in the TC's eyewall and outer rainband regions
63 (Weissman et al., 2002), microwave radiometry has played an important role in recent years. The Stepped
64 Frequency Microwave Radiometer (SFMR) thus became National Oceanic and Atmospheric
65 Administration (NOAA)'s primary airborne sensor for estimating surface wind speed in hurricanes
66 (Uhlhorn et al., 2007). SFMR instrument measures the brightness temperature of the ocean at a number
67 of C-band frequencies, including frequencies that permit the measurement, and correction for, both rain
68 and surface wind speed. However, SFMR is limited as it operates only in the North Atlantic and Eastern
69 Pacific, and mostly sample storms at reachable distances from the coasts. However, there is still no
70 equivalent sensor capability in space today and most available active and passive orbiting sensors
71 operating in the low microwave frequency bands show poor surface wind speed retrieval performances
72 above hurricane force, mostly because of the difficulty to precisely separate wind from rain effects
73 (Powell, 2010). Promising new approaches are nevertheless currently under development based on either
74 the new capabilities of the Advanced Microwave Scanning Radiometer 2 (AMSR2) onboard the GCOM-
75 W satellite (Zabolotskikh et al., 2015), or the exploitation of the active cross-polarization C-band SAR
76 data (see Horstmann et al., 2013), and, in the near future, with the launch of the CYGNSS mission with
77 principle based on GPS bistatic scatterometry at L-band (Ruf et al., 2013). The method developed by
78 Zabolotskikh et al., 2015 to retrieve sea surface wind speed and rain in tropical cyclones involves the
79 combination of brightness temperature data acquired at the six C- and X-band channels of AMSR-2.
80 Contrarily to the previous AMSR and AMSR-E sensor series, which only operated a single ~6.9 GHz
81 channel, AMSR-2 is now also equipped with an additional C-band channel at 7.3 GHz (originally
82 installed for radio-frequency interferences mitigation). Taking advantage of the information from this

83 new channel, the multi-frequency algorithm developed has been shown to efficiently help to separate the
84 wind and rain contributions in TC, the latest still strongly affecting each single C-band channel signals.
85

86 Because upwelling radiation at 1.4 GHz (L-band) is significantly less affected by rain and
87 atmospheric effects than at higher microwave frequencies (Reul et al., 2012), L-band passive and active
88 measurements from the European Space Agency Soil Moisture and Ocean Salinity (SMOS), the NASA
89 Aquarius-SAC/D and the recently launched Soil Moisture Active Passive (SMAP) missions offer new
90 unique opportunities to complement existing ocean satellite high wind observations in tropical cyclones
91 and severe weather. SMOS provides multi-angular L-band brightness temperature images of the Earth
92 over a ~1000 km swath at about ~43 km nominal resolution. SMAP performs simultaneous measurement
93 of L-band brightness temperature and backscatter, at spatial resolutions of about 40 km across the entire
94 swath (~1000km wide) and 3 km over outer 70% of the swath, respectively (Entekhabi et al., 2014).
95 Thanks to their large swaths, both missions provide data with global coverage in about 3-days. Aquarius
96 sensor capabilities were limited in the context of TC surface wind speed retrieval because of the low
97 spatial resolution of the instruments (~100 km) and the relatively narrow width of their swaths (~300 km
98 when combining all 3 beams), providing global revisit time of only 7 days. While the combination of
99 passive and active L-band sensors is certainly very promising for surface wind speed remote sensing in
100 extreme conditions, in this paper, we shall focus only on the brightness temperature signatures of tropical
101 cyclones as observed by SMOS radiometer over 2010-2015.

102 A first demonstration that SMOS L-band passive data could be used to retrieve meaningful
103 surface wind speed in tropical cyclones and storms has been provided in [Reul et al., 2012]. The L-band
104 microwave brightness temperature contrast of the sea surface, defined as the difference between the
105 brightness temperature observed at surface level at some wind force and the brightness temperature of
106 the smooth water surface with the same physical parameters (temperature and salinity), were evaluated.
107 The induced radio-brightness contrasts observed as the instrument intercepted IGOR storm at several
108 stages of its evolution were co-located and compared to observed and modelled surface wind speed

109 products. From this dataset, a first Geophysical Model Function (GMF) has been proposed to describe
110 the relation of the half power L-band radio-brightness contrast $\Delta I = \Delta (T_h + T_v)/2$ of the ocean with the
111 surface wind speed modulus U . The radio-contrast ΔI was found to increase quasi-linearly with
112 increasing wind speed with a significant change of sensitivity ($\partial \Delta I / \partial U$) from ~ 0.3 K/(m/s) below
113 hurricane force (~ 32 m/s) to ~ 0.7 K/(m/s) above. That GMF was further used to retrieve surface wind
114 speed from SMOS data over independent IGOR intercepts: the evolution of the retrieved maximum
115 surface wind speed, the radii of 34, 50 and 64 knots surface wind speeds were shown to be consistent
116 with hurricane model solutions and observation analyses. The authors concluded that neglecting
117 potential rain and sea-state contributions to the signal, the surface wind speed modulus over ~ 50 km
118 pixels can be retrieved in average with a root mean square error on the order of 5 m/s.

119 Impacts of heavy rain and ice clouds in the atmosphere on the L-band radio-brightness contrasts,
120 as well as potential sea state effects remain however rather uncertain and could be sources of larger
121 amplitude local errors on the retrieved surface wind speed.

122 The effects of rain and atmosphere in TC on radio emission from the sea surface is certainly
123 weaker at L-band than it is at the higher frequencies (Reul et al., 2012). Atmospheric contributions
124 dominated by absorption and emission due to oxygen at L-band can thus be corrected with negligible
125 errors with respect to the expected magnitude of the wind-induced surface radio-brightness contrasts. The
126 absorption due to rain of upwelling radiations is also about a factor 100 more at C than it is at L band.
127 Nevertheless, high wind region in TC are very often associated with extreme rain rates and atmosphere
128 around the eyewalls is very often associated with high concentration of several hydrometeor species such
129 as cloud water and ice, snow, and graupel (Houze et al., 1976). Small ice particles exist between the
130 eyewall and outer rainbands, and graupel particles are collocated with the radius of maximum tangential
131 wind (Houze et al., 1992). Hurricanes are usually glaciated everywhere above the -5°C level and the
132 stratiform areas are dominated by snowflakes (aggregates) at these levels (Black and Hallett., 1986). The
133 impacts of these ice phase cloud and of the concomitant high rain rate around the eyewall and rainbands
134 in TCs on the L-band emission stay rather badly known. In Reul et al., 2012, an attempt to quantitatively

135 evaluate the rain impact at a given wind speed was performed by classifying the estimated L-band radio-
136 contrasts in rain-free and rainy conditions for four intercepts of IGOR, using co-localized rain rate
137 measurements from various microwave sensors. Based on that limited amount of observations and
138 previous radiative transfer simulations, we concluded that neglecting the contributions of rain to the L-
139 band brightness temperature observed over the ocean at high winds shall be negligible in general, except
140 in very high rain rates for which maximum rain impact can reach ~ 4 K. Given the wind speed sensitivity
141 of the SMOS GMF at high wind (~ 0.7 K/(m/s)), we concluded that neglecting rain effects could therefore
142 induce wind speed retrieval errors up to ~ 6 m/s.

143 The brightness temperature of the ocean is strongly dependent on the foam coverage due to
144 whitecap and streaks induced by wave breaking and wind tearing of the wave crest (Holthuijsen et al.,
145 2012) but also on the distribution of foam formation thickness (Reul and Chapron, 2003). In addition,
146 recent observations from Holthuijsen et al., 2012 suggest that the whitecap coverage is not increasing at
147 hurricane wind force and above to reach a constant value of about 4%. The whitening observed above 33
148 m/s would thus be dominated by the growth of the streak coverage. Whether it is the increasing horizontal
149 coverage of these streaks or the increasing thickness of the whitecaps, or a combination of both which
150 explain the quasi-linear growth of the radio-brightness at L-band stays an open question. Both
151 characteristics can be related to wind speed, but surface wave breaking and streak generation are also
152 strongly dependent on sea surface wave growth, wave-wave and wave-current interaction, water depth
153 and turning winds. The physics of the wave breaking generation process within hurricanes is complicated
154 by the rapidly turning winds which generate cross-seas and higher sea state in the forward right-hand
155 quadrant of the storms in the northern hemisphere (resp left-hand in the southern hemisphere). The
156 velocity of forward movement of the storm, the maximum wind velocity and radius within the storm as
157 well as the duration of wind action with respect group velocity of waves are key parameters known to
158 play an important role in determining both the magnitude of the waves generated and also the spatial
159 distribution of these waves within the storm quadrants (Young, 2003; MacAfee and Bowyer, 2005). The
160 wave field is thus more asymmetric than the corresponding wind field, mainly due to the “extended fetch”

161 which exists to the right of a translating hurricane due to relative wind/wave motions. It is worth noting
162 that the effects of wave-current interaction on foam formations may also be of particular importance for
163 hurricanes with landfall, e.g. in the US, due to the strong influence of either the Gulf Stream (Western
164 Atlantic) or the Loop Current (Gulf of Mexico).

165 Yet, the impact of the variability of wave and wave breaking development in storm quadrants on the
166 radio-brightness contrast at L-band are still very poorly known. Thus algorithms for wind speed retrieval
167 from L-band microwave radiometry must be tested for sensitivity to these effects and corrected if
168 necessary.

169 In this paper, we present results of a study conducted to extend the first demonstration presented
170 in Reul et al., 2012 and to gain further insights into wind, rain and sea state effects. We systematically
171 produced L-band SMOS radio-contrasts and high wind speed retrievals to generate a global database of
172 SMOS intercepts with all world wide TC events that developed over the period January 2010 to April
173 2015. Data and processing are described in a first section. In a second part, we show several examples
174 of the SMOS signal and retrieved winds for various representative storms. In a third part, we analyse the
175 statistical structural properties of the L-band brightness temperature contrasts as function of storm sectors
176 and storm intensity. A subset of the SMOS-Storm database was selected for this purpose to include
177 approximately 300 "best-quality" SMOS swath-storm intercepts with event intensities ranging from
178 tropical storm to Category 5 in the Saffir-Simpson High Wind Scale (SSHWS). These intercepts data
179 were selected following several criteria: 1) the storm center and its spatial domain within a radius of
180 ~100-200 km had to be well observed by the instrument and 2) undetected/uncorrected Radio frequency
181 interferences -and/or residual solar impacts on the brightness data were not affecting the retrieval quality
182 significantly. Data were further classified following the storm intensity based on the value of the
183 maximum sustained wind speed at the time of SMOS acquisitions, derived from Best-Track archive data.
184 The position of the storm center and the bearing of the storm main motion at the time of SMOS
185 acquisitions were determined and further used to map the storm brightness temperature contrast fields
186 within a 1000x1000 km² box centered on each Storm eye location and rotated such that all storms are

187 bearing towards a common direction. Average 2D properties of the L-band brightness temperatures per
188 Saffir-Simpson categories are here analysed and presented, clearly illustrating the capability of L-band
189 passive microwave data to provide a metric for intensity change in TCs.

190 In a fourth part, the SMOS retrieved winds based on the first GMF derived by Reul et al., 2012
191 are validated against SFMR flights and the NOAA/HWIND* analysed surface wind speed products. The
192 quality of SMOS wind is assessed and compared to ECMWF and NCEP wind speed products in the range
193 0-50 m/s. Based on these co-localized data sets, a refined new GMF function is proposed.

194 In a last section we discuss the limitations and characteristics associated with such new
195 observations (potential effects of rain and sea state and others) and the enhanced storm tracking capability
196 that could come from merged SMOS-AMSR2 and SMAP data.

197 **2 Data and Methods**

198 **2.1 SMOS data and processing**

199 SMOS brightness temperature (T_B) images are formed through Fourier synthesis from the cross
200 correlations between simultaneous signals obtained from pairs of antenna elements. For this study, we
201 used the SMOS Level 1B V620 products, generated by the ESA/SMOS Data Processing Ground Segment
202 (DPGS). The SMOS Level-1B product is the output of the image reconstruction of the observations and
203 comprises the Fourier component of the brightness temperature in the antenna polarization reference
204 frame, hence brightness temperatures. Level-1B corresponds to one temporal measurement, i.e., the
205 whole field of view, one integration time, and is often called a ‘snapshot’ as for a camera. The brightness
206 temperature images are further obtained by applying an inverse Fast Fourier Transform (IFFT) to the
207 Level 1B brightness temperature Fourier coefficients using a Blackman spatial filter as described
208 by Anterrieu et al. [2002]. The reconstructed brightness temperatures product at the top of the atmosphere
209 is geolocated in an equal-area grid system (ISEA 4H9 - Icosahedral Snyder Equal Area projection) with
210 an oversampled spatial resolution of about ~ 15 km. We consider here T_B data reconstructed in the
211 extended field of view (FOV) domain of the antenna for which the swath width is approximately 1200
212 km [see Font et al., 2010, Figure 6]. The actual spatial resolution of the reconstructed T_B data varies

213 within the FOV from ~32 km at boresight to about ~80 km at the edges of the swath (43 km on average
214 over the field of view). The probing earth incidence angle is ranging from nadir to about 60° and the
215 radiometric accuracy from 2.6 K at boresight to about 4–5 K on the swath edges. As the satellite moves,
216 multiple observations of the same pixel at different incidence angles are obtained from successive
217 snapshots. Earth grid points with less than 5 multiangular observations, as can be encountered at the
218 extreme border of the swath, were removed.

219 The L-band brightness temperatures measured by a downward looking radiometer such as that
220 on board SMOS are significantly influenced by a number of radiation sources [Yueh et al., 2001; Font et
221 al., 2010]. Among the most important sources of L-band brightness over the ocean are: (1) perfectly flat
222 surface emission (with order of magnitude 100 K±4 K due to SSS & SST variability impact); (2)
223 atmospheric emission (on the order of 5 K including reflected downwelling and upwelling); (3) scattered
224 galactic radiation incident at the surface (order of magnitude 10 K); and (4) excess emission associated
225 with the wind-driven surface roughness and breaking-wave generated foam (order of magnitude 10 K,
226 up to 30 K in gale force winds).

227 By using the SMOS Level 2 radiative transfer forward model of scene brightness for each of these
228 geophysical sources [Zine et al., 2008], we can estimate all but one of these contributions from the data
229 in order to reveal individual residual sources ΔT_B of brightness contrast. To analyze SMOS signal over
230 TCs and to reveal the impact of surface roughness and foam changes on the brightness temperatures at
231 the radiometer, we therefore removed from the measurements all but the rough and foamy surface
232 emission contributions. The necessary geophysical auxiliary data required to evaluate the different
233 forward model contributions are obtained operationally at the SMOS measurement time and locations by
234 the DPGS using products from ECMWF. Note that in the SMOS forward model [Zine et al., 2008], the
235 evaluation of atmospheric contributions do not account for potential rain impact, which is hereafter
236 neglected.

237 To estimate the flat sea surface emission contribution, we used the ECMWF/OSTIA Sea Surface
238 Temperature daily nighttime products [Stark et al., 2007] and we estimated the sea surface salinity (SSS)

239 using SMOS SSS data themselves for the week preceding the passage of the storms. For this study, we
 240 used the Centre Aval de Traitement des Données SMOS (CATDS, www.catds.fr) Expertise Center-
 241 Ocean Salinity SMOS SSS (IFREMER V02) Level 3 products [Reul and Ifremer CATDS-CECOS Team,
 242 2011]. Data were first processed to provide a level 3 daily gridded SSS field at a resolution of $0.25^\circ \times$
 243 0.25° for the complete year. Composite weekly products were then generated for each storm using a
 244 running mean 7 days, 0.5° window. The SSS was further bi-linearly interpolated at 15 km resolution to
 245 evaluate the brightness contrast ΔT_B .

246 In Reul et al., 2012, we estimated that SSS errors on the order of ~ 0.5 psu, the accuracy of
 247 weekly CATDS products in the tropics, shall translate into maximum wind speed biases on the order of
 248 1 m/s.

249 An additional source of earth surface emitted brightness modification at L-band as measured from
 250 space is the polarization mixing (Faraday rotation), due to the electromagnetic wave propagation through
 251 the ionosphere in the presence of the geomagnetic field [Skou, 2003]. It can be either modeled from the
 252 knowledge of the ionospheric Total Electron Content (TEC) and magnetic field or avoided by using the
 253 first Stokes parameter $I = T_H + T_V$, which is basically invariant by rotation. We chose here this alternative
 254 option and estimated the first Stokes surface roughness and foam-induced brightness temperature
 255 residual: $\Delta I = \Delta T_H + \Delta T_V$.

256 Finally, to reduce the instrument instantaneous radiometric noise which can vary from 2.6 K to 5
 257 K for a single snapshot measurement as function of the position of the pixel within the swath, we averaged
 258 the SMOS multiangular measurements performed at a given location on earth to estimate an ‘incidence-
 259 angle averaged’ first Stokes brightness temperature residual generated by surface roughness and foam: :

260
$$\overline{\Delta I} = \frac{1}{\theta_{max} - \theta_{min}} \int_{\theta_{min}}^{\theta_{max}} \Delta I(\theta) d\theta$$
, where θ is the earth incidence angle and $[\theta_{min}, \theta_{max}] \approx [10^\circ, 60^\circ]$.

261 Note that in the remaining of the paper, we will consider the half total power: $\frac{\Delta \overline{I}}{2} = \Delta(T_H + T_V)/2$ and
 262 for clarity, we shall drop the overbar notation. Unless specified, ΔI will therefore always refer to the
 263 incidence angle-averaged half-power quantity. The noise-reduction approach through incidence-angle
 264 averaging is necessary in the context of SMOS data analysis for instantaneous events because of the low

265 signal-to-noise ratio for a single angle measurement. The approach is justified by the fact that a small
266 incidence-angle dependence of the foam impact is expected from radiative transfer models of foam
267 emissivity at L-band in the range 0° – 50° [Reul and Chapron, 2003; Camps et al., 2005; Yueh et al.,
268 2010], a characteristic which was confirmed in the observations over IGOR (Reul et al., 2012). Surface
269 wind speed modulus is finally retrieved from ΔI data using the bi-linear GMF proposed in Reul et al.
270 2012.

271 **2.2 Storm Tracks and Intensity**

272 Tropical cyclone best track data were obtained from the WMO official archiving and distribution
273 resource IBTrACS: International Best Track Archive for Climate Stewardship (Knapp et al. 2010). We
274 used the best track archive dataset version v03r06 available at NOAA National Climatic Data Center
275 (<https://www.ncdc.noaa.gov/ibtracs/>). The database include 6-hourly eye track location and maximum 1-
276 minutes sustained wind speed information. We used the "source" datasets in the Best track data which
277 combines information from the most reliable tropical cyclone data centers. At the time this work was
278 conducted, the IBTrACS tracks database only included few storms in 2014 and none in 2015. For this
279 two years, we completed the storm track database using data from the Joint Typhoon Warning Center
280 (JTWC) or National Hurricane Center (NHC).

281 The position of the storm center at the time of SMOS acquisition was determined from time interpolated
282 best track data but also using microwave 85 GHz data from SSMI15-16-17-18, TMI and AMSRE. The
283 latter data are available from the Morphed Integrated Microwave Imagery (MIMIC-TC) database
284 provided by the Cooperative Institute for Meteorological Satellite Studies Space Science (CIMSS). By
285 default, we used the Best Track interpolated eye location at the time of SMOS overpasses. However,
286 adjustments were performed if a visual inspection revealed discrepancy between the best tracks
287 interpolated eye location, the 85 GHz and the SMOS fields (e.g., cases when the eye is very easily
288 detectable on SMOS data by visual inspection but displaced from best track or 85 GHz's estimates).

289 The bearing of the storm eye main motion at SMOS acquisition time was also estimated from the time
290 interpolated 6-hourly best-track data.

291 **2.3 Surface wind speed products**

292 SMOS data and retrieved winds are compared in that paper with an ensemble of surface wind speed
293 products to provide validation datasets and to compare the performances of SMOS retrievals with respect
294 other wind estimates such as Numerical Weather products and ocean surface winds from the ESA
295 MetOp-A and B Advanced Scatterometer (ASCAT).

296 For Validation, we specifically used retrieved surface winds from either the SFMR aboard the C-130
297 aircraft or H*WIND analyses (Powell et al., 1998). Both data are available from the Hurricane Research
298 Division (HRD) of the Atlantic Oceanographic and Meteorological Laboratory.

299 The SFMR was specifically developed to measure hurricane-force ocean surface winds. Thus, the
300 instrument has been mounted on aircraft that typically make butterfly-pattern reconnaissance flights
301 within TCs. In general, SFMR measures the nadir brightness temperatures between 4.5 and 7.2 GHz,
302 which are converted to 1-minute sustained surface wind speeds and rain rates using dedicated GMF.
303 SFMR data are provided at a high radial resolution of ~3km. Comparison of SFMR to GPS dropsonde
304 wind speed measurements resulted in an error of approximately 4 m s^{-1} in TC winds between 10 and 70
305 m s^{-1} (Uhlhorn et al., 2007).

306 To validate and re-analyse the SMOS GMF, we also used H*WIND two-dimensional surface wind
307 analysis products [Powell et al., 1998]. The H*wind analysis uses a combination of all available surface
308 and near surface wind observations collected over several hours period from multiple platforms (i.e.,
309 SFMR wind speeds, GPS dropwindsondes, tail Doppler radar, geostationary operational environmental
310 satellite (GIES) cloud track winds, surface ships and buoy data as well as satellite observations (such as
311 QuicSCAT, WindSat and ASCAT), etc.), adjusts them to a common elevation and exposure to create a
312 6 km resolution tropical cyclone surface wind field given into a "storm-centric" moving TC coordinates
313 system. The wind speed represents the one-minute sustained wind velocity at 10-m altitude reference.

314 These objectively analyzed wind products are used routinely as guidance for operational TC forecast and
315 advisory products, including the determination of wind radii (e.g., radius of 17, 25, and 33 m s⁻¹ winds
316 by quadrant) by hurricane forecasters at the National Hurricane Center and the Central Pacific Hurricane
317 Center. H*wind accuracy is highly dependent on the quality of the dataset and data coverage used as
318 input. Although it is imperfect, it is the current best 2D surface truth available. Note that these fields are
319 only freely available until 2013.

320 Prior to making comparisons with SMOS data, all SFMR and H*wind measurements are
321 corrected for the time difference between SMOS acquisition and the flight track. Therefore, every
322 measurement is shifted with respect to the movement of the storm centre within the time difference. This
323 results in adjusted flight tracks such that SFMR and H*WIND measurements have the same location
324 with respect to the centre of the storm during the SMOS acquisition as they actually had when they were
325 recorded. This adjustment does not consider any storm rotation. The storm's movement is derived from
326 the best track information from IBtracks. We considered all SFMR and H*WIND observations available
327 within ± 12 hours from SMOS data. The two closest H*WIND wind fields in time (before and after a
328 SMOS overpass of storms) available within that window were linearly interpolated at the SMOS
329 acquisition time. Both SFMR and H*WIND products were kept at their original spatial resolution but
330 smoothed at SMOS averaged spatial resolution of ~ 43 km by using a running Gaussian windows.

331 Note that Hurricane surface winds are strongly dependent on the averaging time attributed to the
332 wind observations, the roughness of the underlying surface, and height of the wind measurements. The
333 NHC best track maximum sustained surface wind is defined as the maximum 1-min wind that might be
334 observed at a height of 10 m. Here, all the other wind products are also referred to the 10 m height. The
335 H*WIND averaging time is also 1 min, so that the SMOS retrieved wind speeds, by construction of the
336 GMF derived in Reul et al., 2012, were calibrated based on a 1 min averaging period. However, SMOS
337 spatial resolution is at best ~ 30 km at nadir, and most of world's operational centers outside of the U.S.
338 consider the intensity to be defined by the maximum 10-min wind, which may be more consistent with
339 the spatial resolution of SMOS. Therefore, all wind speed value derived based on a 1 min averaging

340 period were adjusted to the 10-min standard. The relation of 1-minute to 10-minute averaged wind speed
341 is that the latter is 7% smaller (Harper et al., 2010).

342 The European Centre for Medium-range Weather Forecasts (ECMWF) 10-m equivalent neutral
343 wind data are used as auxiliary information in the SMOS operational Level 2 processing to improve the
344 Sea Surface Salinity (SSS) retrievals. We therefore also considered the use of those ECMWF 3 hourly
345 25 km products interpolated at 15 km and at SMOS acquisition time but also of the 6-hourly GFS NCEP
346 wind speed products for comparison with reference datasets (SFMR or H*WIND). The NWP winds were
347 co-localized in space and linearly interpolated in time with SMOS acquisition.

348 **2.4 Rain data**

349 Satellite rain rate estimates that we used in the present products are the CMORPH products (CPC
350 MORPHing technique) which include global precipitation analyses at high spatial (~8km) and temporal
351 resolution (~3 hourly). This technique (Joyce et al., 2004) uses precipitation estimates that have been
352 derived from low orbiter satellite microwave observations exclusively, and whose features are
353 transported via spatial propagation information that is obtained entirely from geostationary satellite IR
354 data. At present NOAA incorporate precipitation estimates derived from the passive microwaves aboard
355 the DMSP 13, 14 & 15 (SSM/I), the NOAA-15, 16, 17 & 18 (AMSU-B), and AMSR-E and TMI aboard
356 NASA's Aqua, TRMM and GPM spacecraft, respectively. IR data are used as a means to transport the
357 microwave-derived precipitation features during periods when microwave data are not available at a
358 location. Propagation vector matrices are produced by computing spatial lag correlations on successive
359 images of geostationary satellite IR which are then used to propagate the microwave derived precipitation
360 estimates. This process governs the movement of the precipitation features only. At a given location, the
361 shape and intensity of the precipitation features in the intervening half hour periods between microwave
362 scans are determined by performing a time-weighting interpolation between microwave-derived features
363 that have been propagated forward in time from the previous microwave observation and those that have
364 been propagated backward in time from the following microwave scan. NOAA refer to this latter step as

365 "morphing" of the features. CMORPH estimates cover a global belt (-180° W to 180° E) extending from
366 60° S to 60° N latitude and are available at ftp://ftp.cpc.ncep.noaa.gov/precip/CMORPH_V1.0/RAW/
367 With regard to spatial resolution, although the precipitation estimates are available on a grid with a
368 spacing of 8 km (at the equator), the resolution of the individual satellite-derived estimates is coarser
369 than that - more on the order of 12 x 15 km or so. The finer "resolution" is obtained via interpolation.
370 Similarly to the wind speed products, we estimated the rain rate on the SMOS 15km resolution grid by
371 averaging the CMORPH data using a 2D gaussian windows of 43 km width. The two closest CMORPH
372 fields in time (before and after a SMOS overpass of storms) were linearly interpolated at the SMOS
373 acquisition time.

374 **2.5 Altimeter and ASCAT data**

375 Altimeter data come from the Jason-1 and Jason 2 Geophysical Data Records (GDR) and ASCAT A &
376 B data are level 2B products available at Ifremer/CERSAT satellite data center (<http://cersat.ifremer.fr/>).
377 Altimeter Data have been edited to eliminate measurements contaminated by rain by the standard Jason-
378 1& 2 rain flags, by radiometer liquid water content exceeding 0.2 kg m^{-2} and by using the Ku-band
379 versus C-band radar cross section relationships (see Quilfen et al., 2011).

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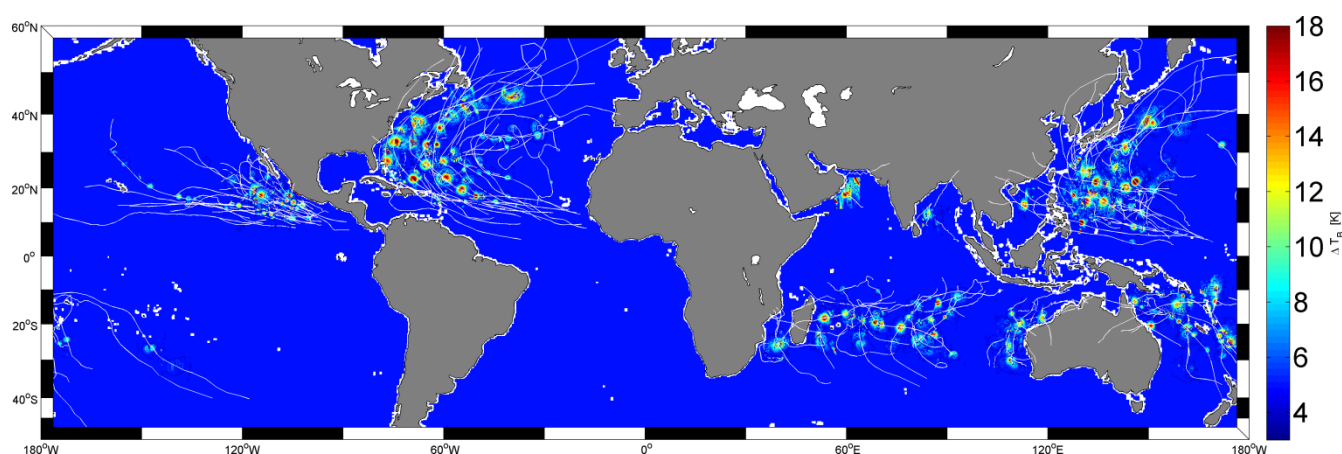
381 **3 SMOS STORM Database**

382 **3.1 General Characteristics of the SMOS STORM database and analysis subset**

383 A database of SMOS interceptions with Tropical Cyclones has been generated for the satellite data
384 archive period from January 2010 to April 2015 and will be referred hereafter as the "SMOS-STORM
385 database". SMOS intercepts with all TCs were determined for each storm of the track database by
386 selecting SMOS swaths that intercepted the storm tracks while including the storm center location. For
387 each swath, the SMOS L1B data were processed to estimate the residual half-power first Stokes radio-
388 brightness contrasts at surface level on the 15 km grid. The SMOS retrieved 2D wind speed modulus

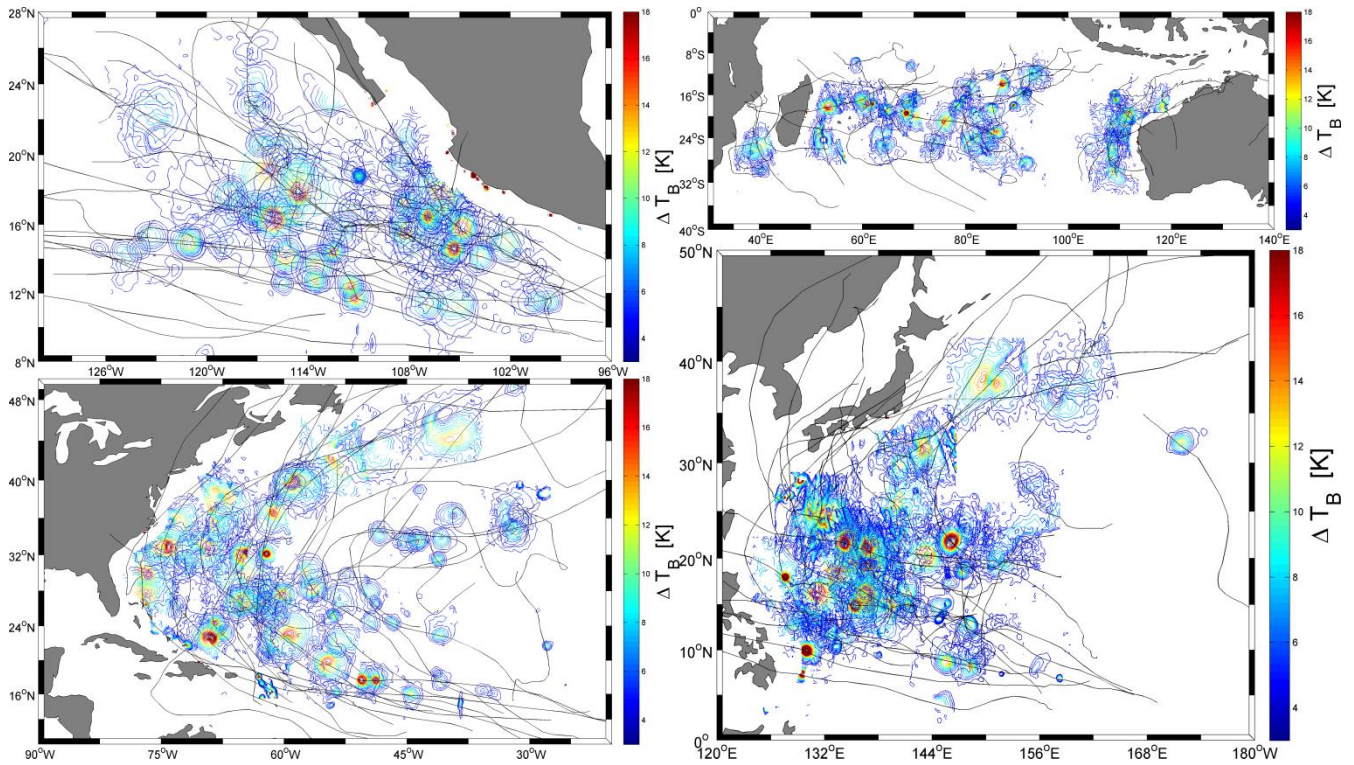
389 fields based on the first GMF (Reul et al., 2012) were evaluated and collected with a suite of auxiliary
390 geophysical information (ECMWF, NCEP wind and SST, SSS from SMOS Level 3, etc..). The
391 intercepting swaths were then classified by year, basin and storm names and the data were saved as netcdf
392 files.

393 A sub-ensemble of about 300 SMOS swath intercepts with Tropical storms and cyclones (among
394 the total database) has been further selected. These data were selected based on how well the swaths
395 intercepted the storms in their centers (the storm center and its spatial domain within a radius of ~100-
396 200 km had to be well observed by the instrument). The selection was also done based on the general
397 SMOS data quality within each swath (minimum RFI contaminations and undetectable residual
398 uncorrected solar effects..). These data of the highest quality possible were selected to properly estimate
399 the geophysical dependencies of the L-band contrasts in storms and to learn more about how the data
400 shall be physically interpreted and inverted into geophysical parameters (wind, wave, rain,etc..).



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403 **Figure 1:** Global distribution of the Storm-induced L-band radio brightness contrasts for an ensemble of
404 SMOS intercepts with Tropical Cyclones over 2010-2015.
405

406 The global distribution of the L-band brightness contrast intensity associated with that sub ensemble of
407 intercepts is shown in Figure 1. Focus on the superimposed brightness temperature contrasts (in terms of
408 contours of ΔI) for each major TC zones are provided in figure 2. As shown, we selected storms in almost
409 all of active basins of the world oceans showing an important variability in the brightness temperature
410 contrasts distribution for each basin.



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Figure 2: Contours of the selected storm-surface induced brightness temperature contrasts [K] as estimated from SMOS L-band data for an ensemble of storms in the Eastern Tropical Pacific (Top left), North Atlantic (Bottom left), Southern Indian Ocean (top right) and Western Pacific ocean (bottom right) during 2010-2015. The black thin curves indicate the storm tracks. The color indicate the amplitude of the storm-induced radio-brightness temperature contrasts.

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In figure 3, we further show several representative examples of the brightness temperature contrasts estimated from SMOS data as the instrument intercepted some storms with intensity ranging from Tropical Storms to category 4 on the SSHWS. As shown, the shape, magnitude and spatial extent of the storm-induced L-band brightness temperature contrasts are showing significant variability around the storm centers. Large asymmetries in the distribution of the ΔI around the eyes are particularly evident for the tropical storms and category 1-2 TCs. The magnitude of the maximum storm-induced signal is also varying from below 12 K for Tropical storms to well above 18 K for Category 4 cyclones and can be found distributed on different sides of the storm tracks. Significant drops of ΔI in the eye center regions, known to be associated with light winds and low rain, are very often visible in the images but not systematically observed, particularly for those storms showing radii of significant ΔI contrasts which are on the order of, or less than, the instrument average spatial resolution (~ 43 km).

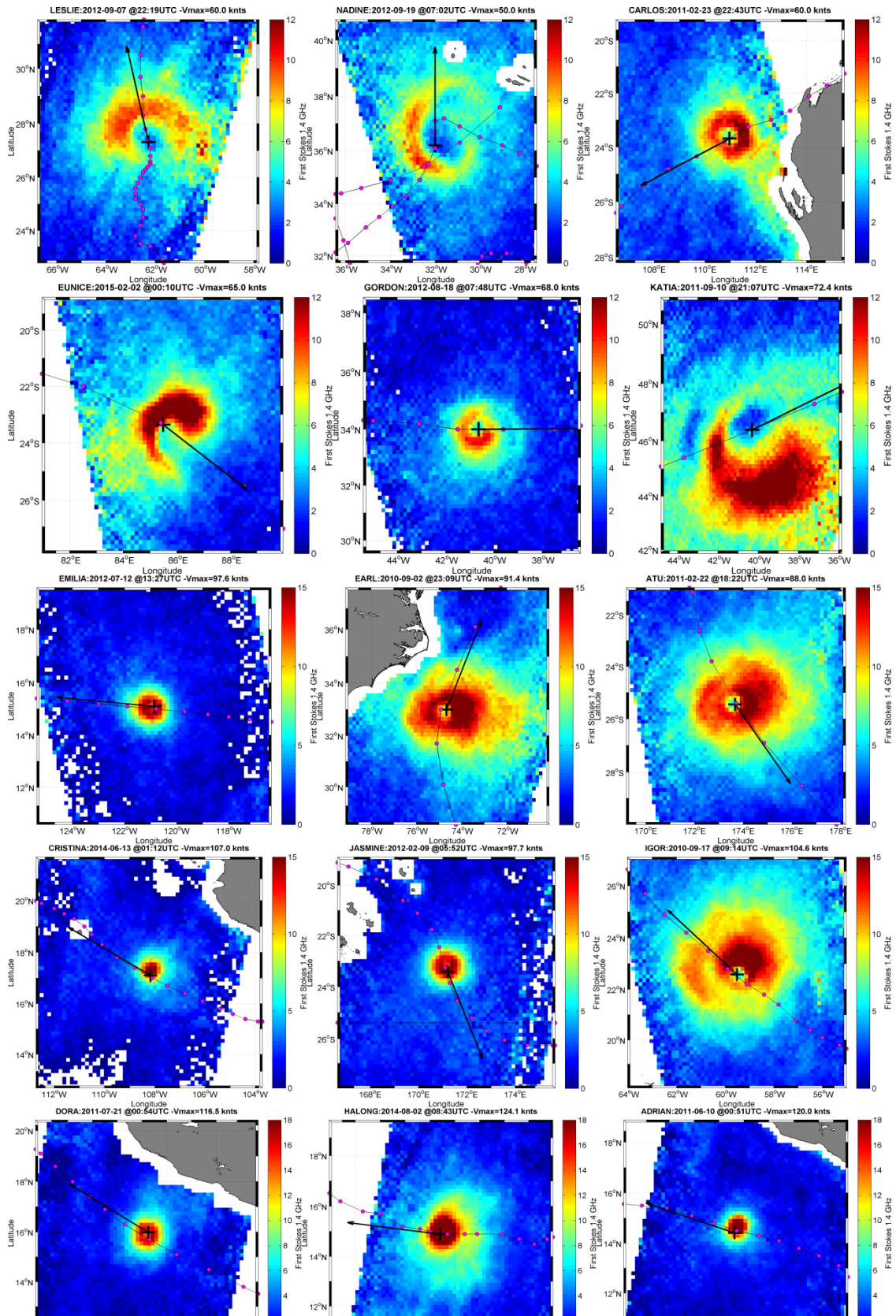


Figure 3: Examples of L-band radio-brightness temperature contrasts [K] measured by SMOS as the instrument intercepted Tropical Storms (upper-panel, 35-63kts), category 1 TC (second panels from top, 64-82knts), category2 TCs (third panels from top, 83-95 knts), category 3 TCs (fourth panels from top) and category 4 TCs (bottom panels). Note that the color-scale range is 0-12 K for TS and category 1, 0-15 K for category 2 to 3 and 0-18 K for category 4 on the SSHWS. Each panel represent a domain of about 1000 km width centered on the TC eye. The pink dots show the storm 6-hourly best track and the black arrow indicate the storm main propagation direction.

441 The selected subset of SMOS intercepts has been further classified as function of the value of the
442 best track 10mn maximum sustained wind speed at the time of SMOS acquisitions to finally include
443 intercepts with 124 tropical storms, 74 category 1, 41 category 2, 36 category 3, 22 category 4 and 3
444 category 5 events.

445 **3.2 Statistical characteristics of the L-band brightness contrasts as function of storm intensity** 446 **and sectors**

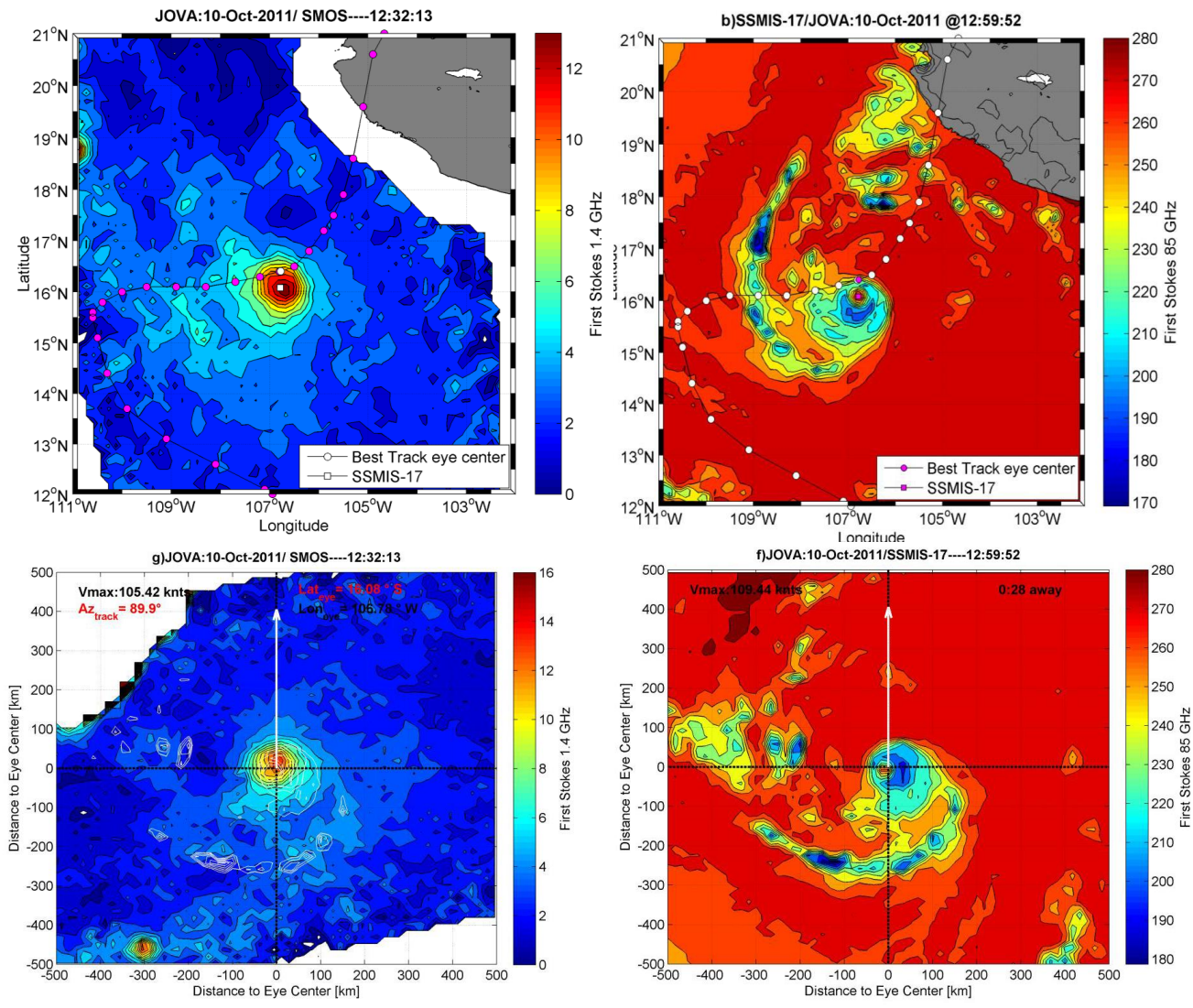
447 **3.2.1 Transformation of ΔI into Storm-centric and common propagation direction frame**

448 To estimate the ‘average’ statistical properties of the brightness temperature contrasts and their
449 dependencies with storm intensity and storm sectors, each SMOS intercept with a storm was processed
450 as follows:
451

- 452 1) The storm center was determined at the time of SMOS acquisition by interpolating linearly in
453 space and time the storm track 6-hourly IBTracks data at the SMOS acquisition time,
- 454 2) Microwave 85 GHz data from SSMI15-16-17-18, TMI or AMSRE that were acquired within less
455 than ± 1 hour apart from SMOS intercepts were further used to check the determination of the
456 storm center locations estimated from the best-track data. If 85 GHz images were available within
457 less than \pm half an hour from SMOS, the location of the center determined by CCIMS from these
458 high microwave frequency images was used. Otherwise, the center location was bi-linearly
459 interpolated in space and time from the two closest 85 GHz observations acquired just before and
460 after SMOS acquisition. A visual check was further performed to check consistency between
461 SMOS eye location, best-track and 85 GHz interpolated ones. In case of mismatch, the center
462 determined from the 85 GHz data was used by default. An example is shown in Figure 4 for a
463 SMOS intercept of the hurricane JOVA as it developed in the eastern Pacific into a Category 3
464 storm, on 10 october 2011, before it landed in western Mexican coasts. SMOS intercepted the
465 storm at 12:32 Z. The best-track linearly-interpolated eye location at that hour (see Figure 4, top
466 left panel) is found at \sim [16.5°N, 106.8°W] which is about 0.5° north of the observed maximum
467 in SMOS brightness temperature contrast. If the best-track determined eye location is assumed to

468 be the actual storm center, then SMOS ΔI distribution as observed would be strongly asymmetric
 469 with a significant right-hand displacement of the maximum brightness contrast with respect the
 470 storm track. An 85 GHz image from SSMIS-17, available 28 minutes away from the SMOS data,
 471 however reveals that the storm eye was actually centered at [15.8°N, 106.78°N], consistent with
 472 the centroid of SMOS ΔI observations. This example illustrates the need for a very careful
 473 inspection and determination of storm eye centers, if one want to estimate the actual statistical
 474 properties of the brightness temperature in a storm-centric frame and determine potential
 475 asymmetries around the different storm sectors.

476



477 **Figure 4:** Top left : SMOS radio-brightness contrast estimated over Category 3 TC JOVA at 12:32 Z on 10
 478 october 2011. The pink dotted curve indicate the best track of JOVA; the white filled dot and squares indicate the
 479 eye location estimated by linear interpolation of the best track data at SMOS acquisition time and from the closest
 480 85 GHz acquisitions, respectively. The latter is obtained from SSMIS/17 imagery at 12:59 Z (Top right). Bottom
 481 panels: same fields than top panels but provided in a storm-centric frame of 1000 km² and rotated with respect the
 482 storm heading (white arrow).
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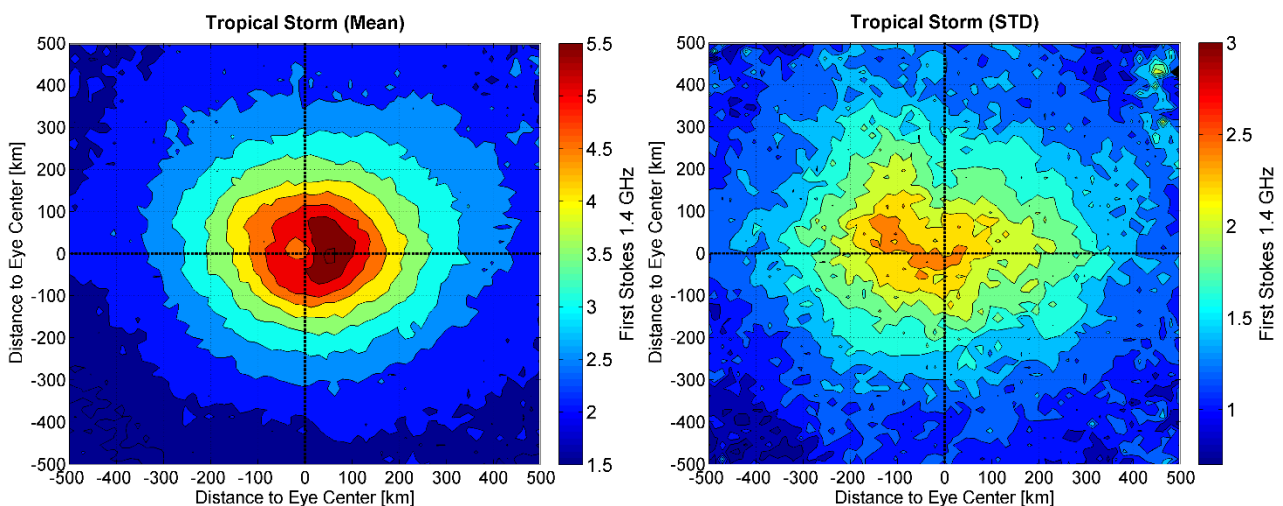
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485 Once the storm eye locations were best estimated at the SMOS acquisition times using the previous
486 methodology, all ΔI data were further re-gridded at 15 km resolution on a storm-centric coordinate
487 system with west-east and north-south axes spanning a spatial domain of 500 kms on each sides of the
488 storm centers. In addition, the heading of the storm translation motion was estimated from the best-track
489 interpolated data at SMOS acquisition time and the brightness contrasts fields were further rotated to
490 align all storm center translation directions to a common axis, here arbitrarily chosen to be due North
491 (see example for JOVA in Figure 4, which was heading towards the east at SMOS acquisition time).
492 Note that an additional 180° rotation around that axis was applied to the fields for the southern-
493 hemisphere storms to account for the differing veering wind directions on both hemisphere, so that the
494 ΔI distributions are all given in a “northern-hemisphere” common coordinate system.

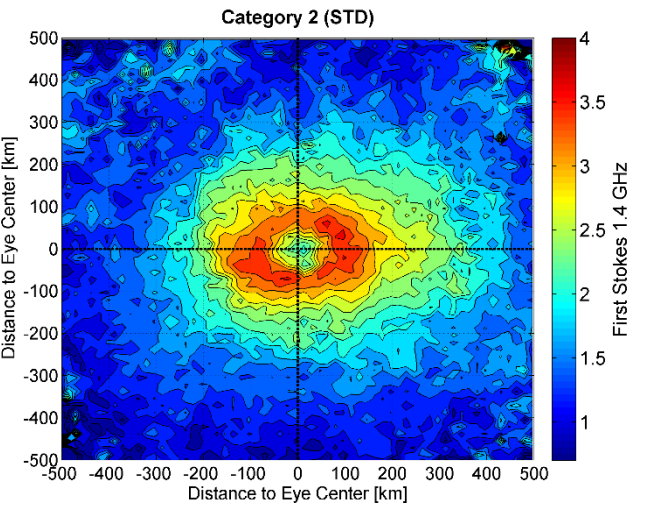
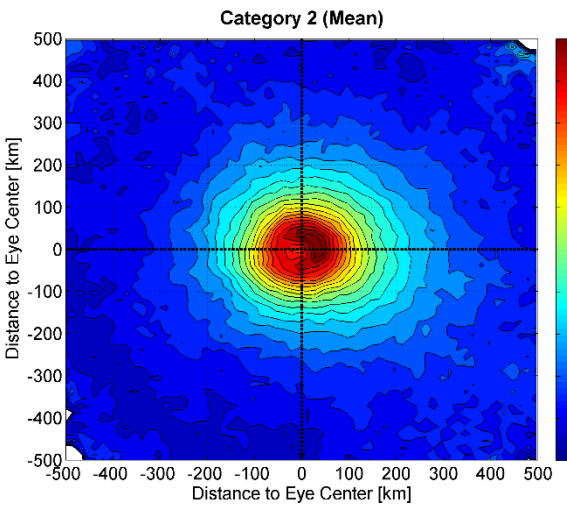
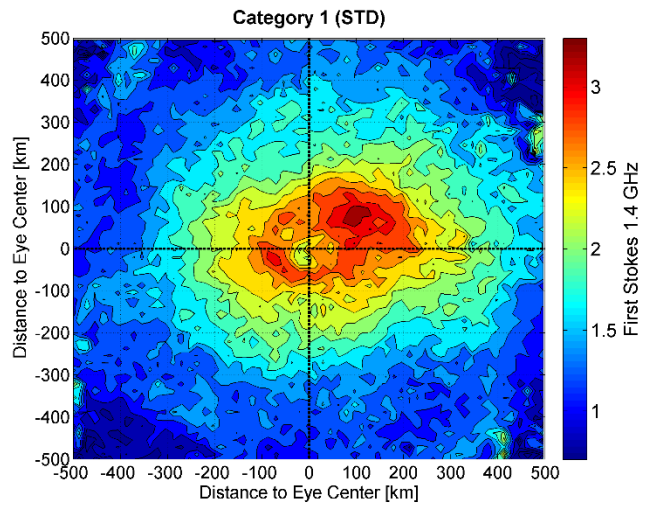
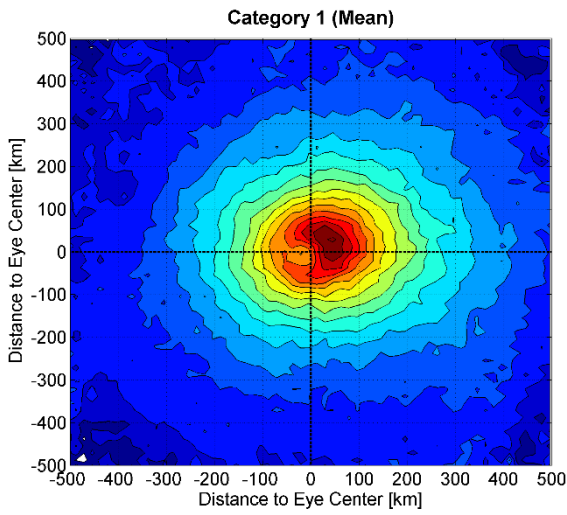
495 3.2.2 Statistical distributions of ΔI as function of storm intensity and sectors

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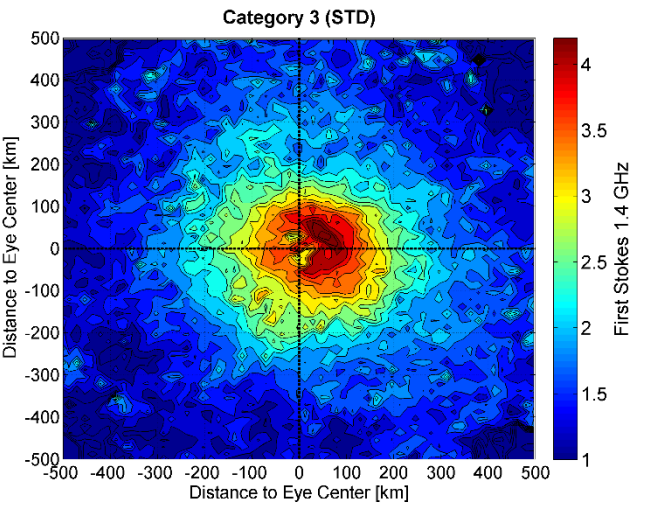
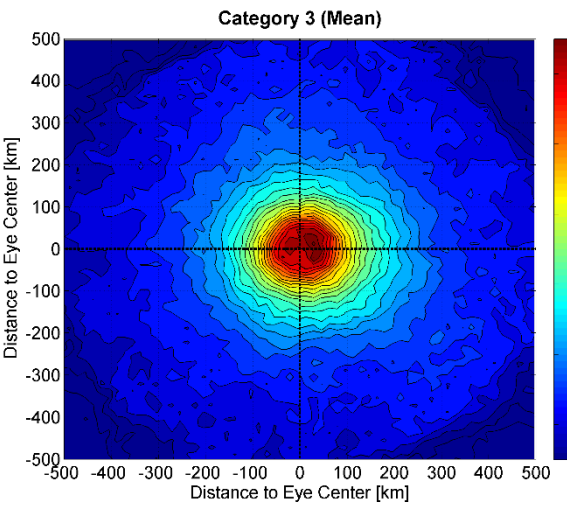
497 The 2D distributions of the mean and standard deviation of the L-band storm-induced brightness temperature
498 contrasts as function of the different categories of storm intensity on the SSHWS are given in Figure 5.

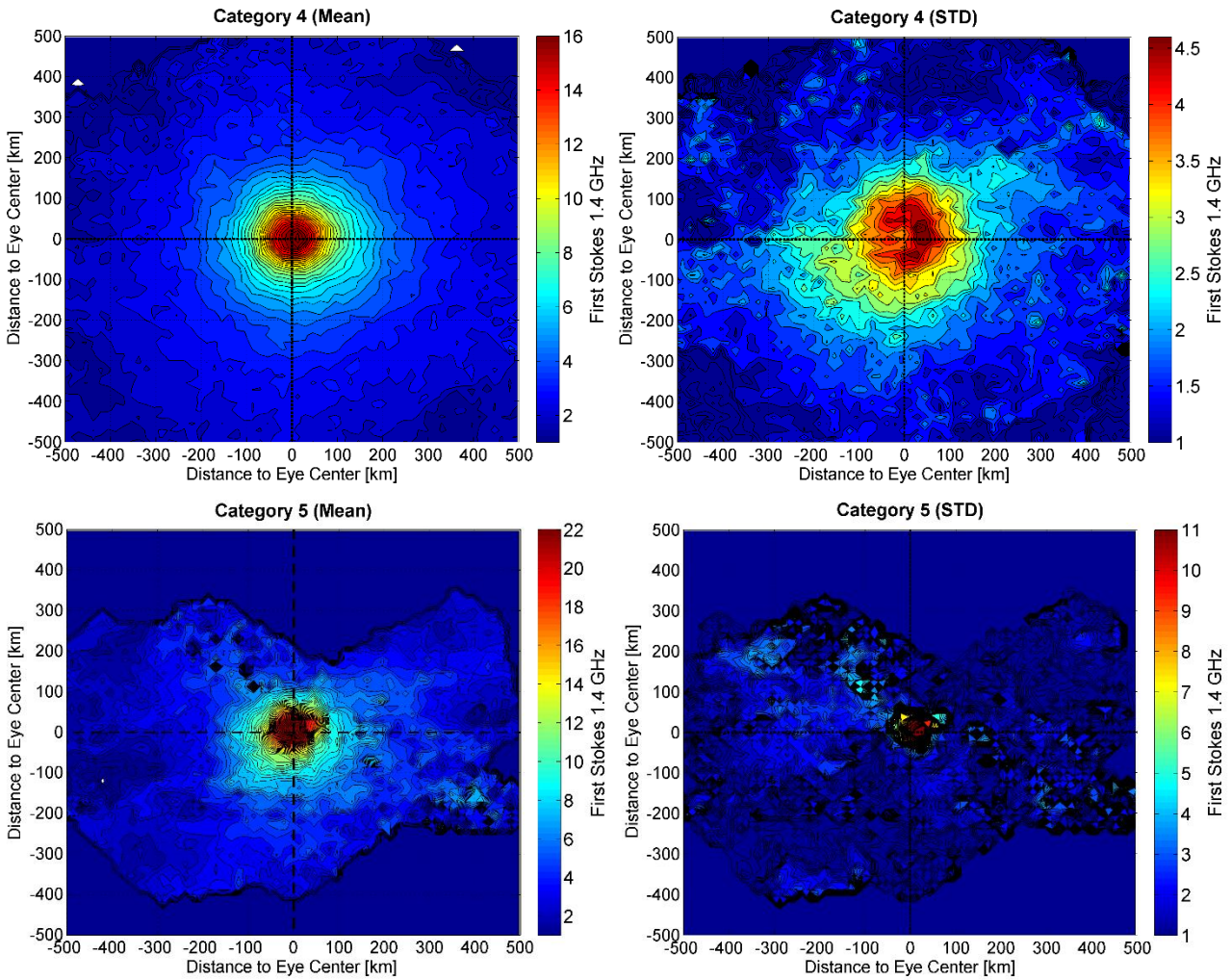


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(see legend and rest of the figure next page)





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Figure 5: Storm centric contours of the mean (left panels) and standard deviation (right panels) of the L-band radio brightness half power contrast as function of storm sectors and intensity. The wind intensity is ranging from Tropical storms (Top panels, $35 \leq U_{10} \leq 63$ kts), Category 1 TCs (second panels from top, $64 \leq U_{10} \leq 82$ kts), Category 2 TCs (3rd panels from top, $83 \leq U_{10} \leq 95$ kts), Category 3 TCs (4th panels from top, $96 \leq U_{10} \leq 113$ kts), Category 4 TCs (5th panels from top, $114 \leq U_{10} \leq 135$ kts) and Category 5 (bottom panels, $U_{10} > 135$ kts). Contours are ranging from 1 to 28 K per steps of 0.5 K for the mean and from 0 to 10K per steps of 0.2 K for the standard deviation. Note that the color scale range is changing from top to bottom panels.

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As shown, the averaged distributions of ΔI reveal that the mean brightness contrast amplitude is coherently

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increasing with the increasing intensity of TCs. The radii within which the brightest ΔI values are found for each

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category is also seen to diminish as the storm intensity increases, consistent with the reported evolution of the

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highest surface wind distribution in TCs (Holland, 1980). A remarkable feature of the mean ΔI fields is that the

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maxima of ΔI are systematically found on the right-hand sides quadrants of the storms. Here again, this is

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consistent with the reported asymmetric structure of the wind and wave fields in hurricane, with the maximum in

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wind speed and sea surface heights occurring in the right-hand quadrants of the storms (in the northern-

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hemisphere) because of the relative wind created by a translating storm. Except for the tropical storm intensities,

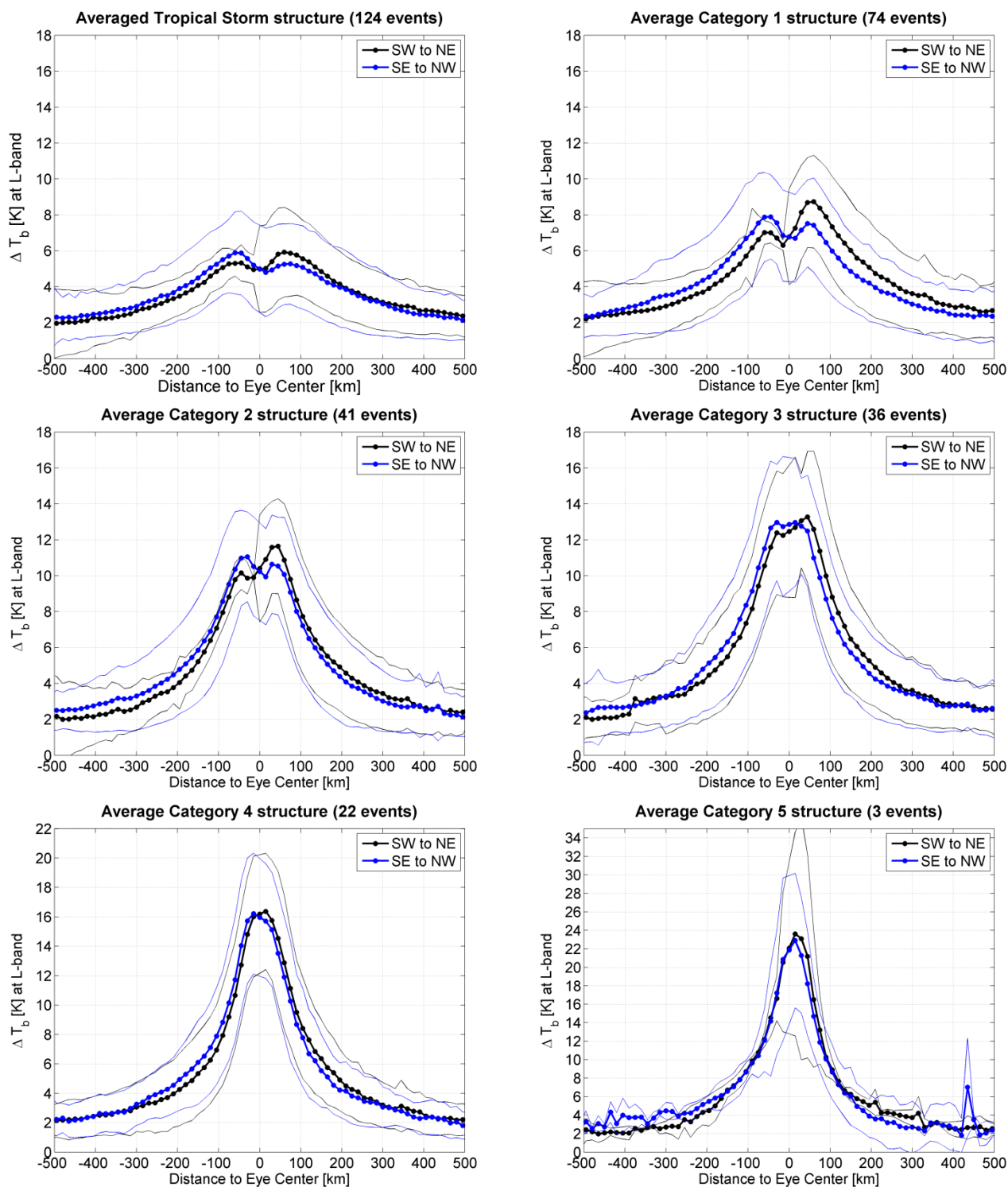
523 the standard deviation (STD) of the ΔI fields also reflect the mean field characteristics. For categories 1-5, the
524 STD is showing a quasi-annular distribution around the storm center, with local minima at the center, a signature
525 of the relatively calm eye of the TCs and local maxima in the right-hand quadrant of the storms.

526

527 These statistical features of the L-band brightness temperature contrasts in storms are further illustrated in Figure
528 6 where we show the storm quadrant azimuthally-averaged radial distributions of the ΔI fields. RHS quadrant
529 asymmetries in the maxima of ΔI (always found in the north-east & south-east quadrants) are very clearly
530 evidenced. Likely because the averaged radii of maximum wind drops below ~ 50 km for storm intensities above
531 and including category 4 on the SSHWS, the instrument hardly resolves the TC eye structures for those most
532 intense storms.

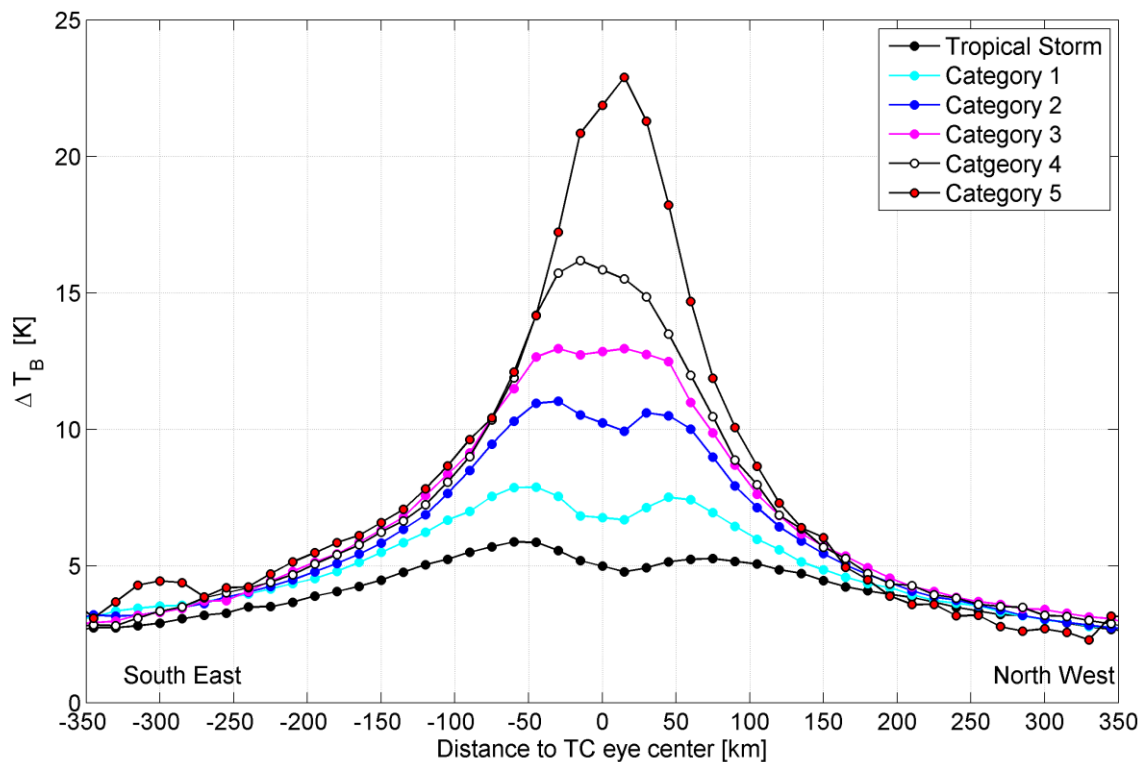
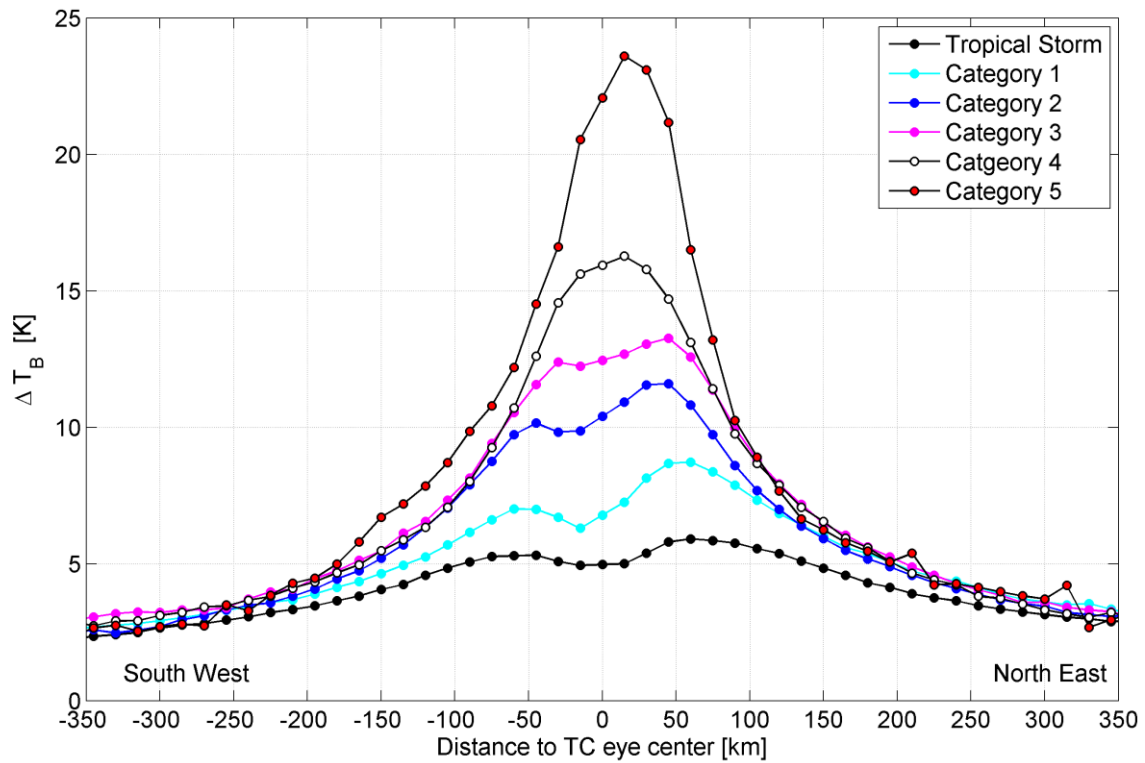
533 Figure 7 summarizes the storm quadrant and intensity dependencies of the L-band half-power radio-
534 brightness contrasts in tropical cyclones. As clearly evidenced, the mean brightness contrast is monotonically
535 increasing with storm intensities within a ~ 200 km radius from the storm center. The mean ΔI amplitude thus
536 ranges from about 5K for tropical storms up to ~ 22 K for the most intense category 5 cyclones. No saturation in
537 the ΔI is visible above hurricane force and the brightness increase from one category to the other on the SSHWS
538 is generally on the order of 3-4 K. The step change from Category 4 to Category 5 is more significant (~ 8 K) but
539 this result shall be taken with caution given that only 3 Category 5 events were intercepted by SMOS and hence
540 used to derive the statistics.

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Figure 6: Mean radial distribution of the L-band wind-excess brightness temperature per storm quadrants and for each category of storm intensities on the Saffir-Simpson scales. Thick black and blue dotted curves indicate the mean radial distribution of ΔI from the South West to the North East storm quadrants, and from the South East to the North West storm quadrants, respectively. The thin curves indicate the corresponding mean ± 1 standard deviation for each quadrant. Top left: Tropical storm intensity. Top right: Category 1 storms. Middle left: Category 2 storms. Middle right: Category 3 storms. Bottom: Category 4 (left) and 5 (right). Note that the Y-axis range is not necessarily constant from one panel to the other.



553
 554 **Figure 7:** Mean radial distribution of the storm-induced L-band half-power radio-brightness contrasts ΔI from
 555 the South West to the North East storm quadrants (top), and from the South East to the North West storm quadrants
 556 (bottom) as function of storm intensities (colors) given by the SSHWS.
 557

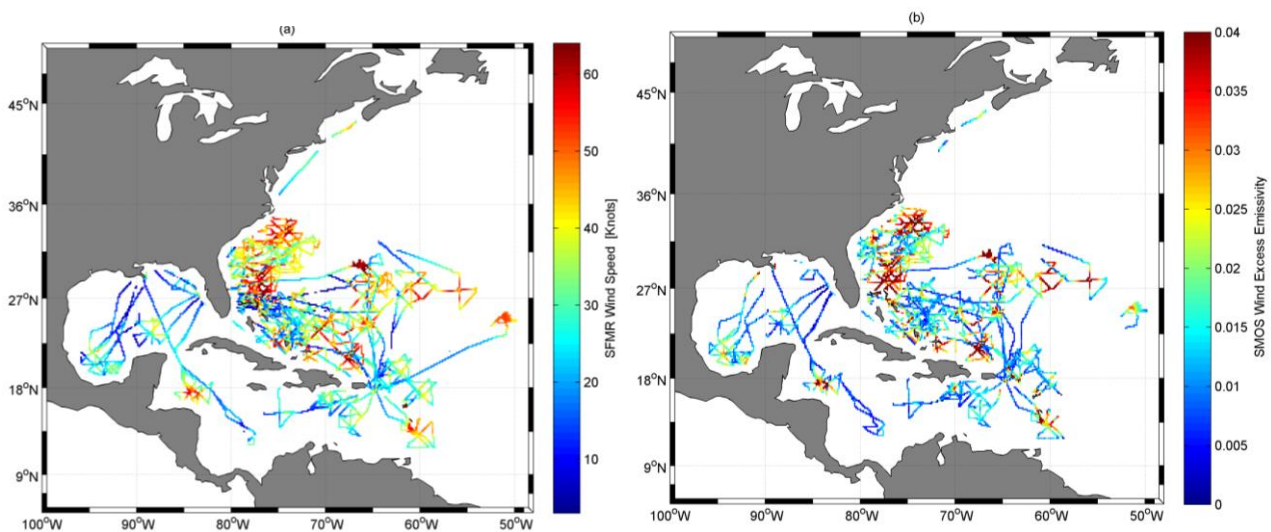
5 A revised L-band Geophysical Model Function

The bi-linear GMF relationship between ΔI and the 10 m height surface wind speed U_{10} proposed in Reul et al., 2012 has been inferred solely from observations acquired over a single north-Atlantic hurricane event (Category 4 hurricane IGOR in 2010). A revision of that GMF is provided here below successively using co-localized SMOS, SMFR flight track data and analysed 2D H*Wind fields.

5.1 Systematic comparisons between SMOS and SFMR

Considering the SMOS-STORM database, we found 64 co-localized SMOS swath intercepting SFMR flight tracks in ~ 30 TCs over the period 2010-2014. This SMOS-SFMR match-up database was built up by selecting co-localized data with time differences between both acquisitions less than ± 10 hours. If the central time lag Δt between SMOS and SFMR data as the aircraft flew over the eye regions exceeded \pm half an hour; the storm center displacement between the aircraft and satellite acquisitions could have been significant. To correct for the storm motions when $|\Delta t| > 0.5$ h, SFMR tracks were spatially translated (without rotation) from the original eye location detected in SFMR data to the eye location at the SMOS time. The SMOS brightness contrasts were then further bi-linearly interpolated in space at ~ 6 km high resolution along each flight track.

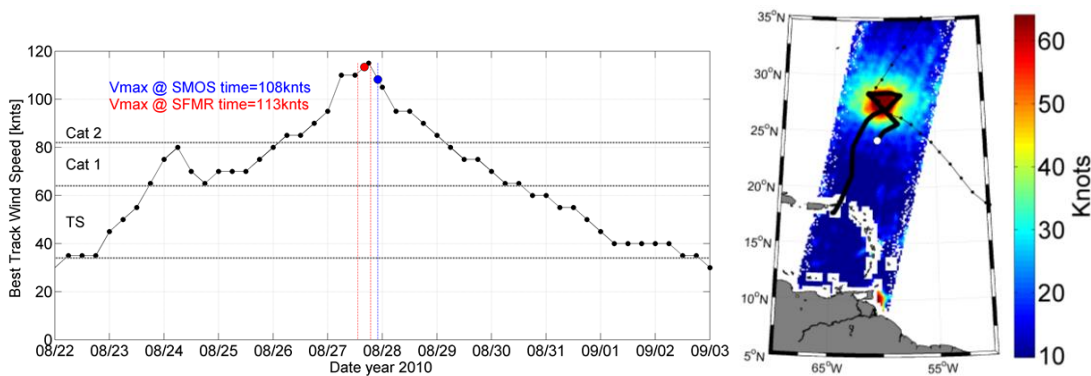
The distribution of the ensemble of co-localized SMOS and SFMR flight tracks is provided in Figure 8.



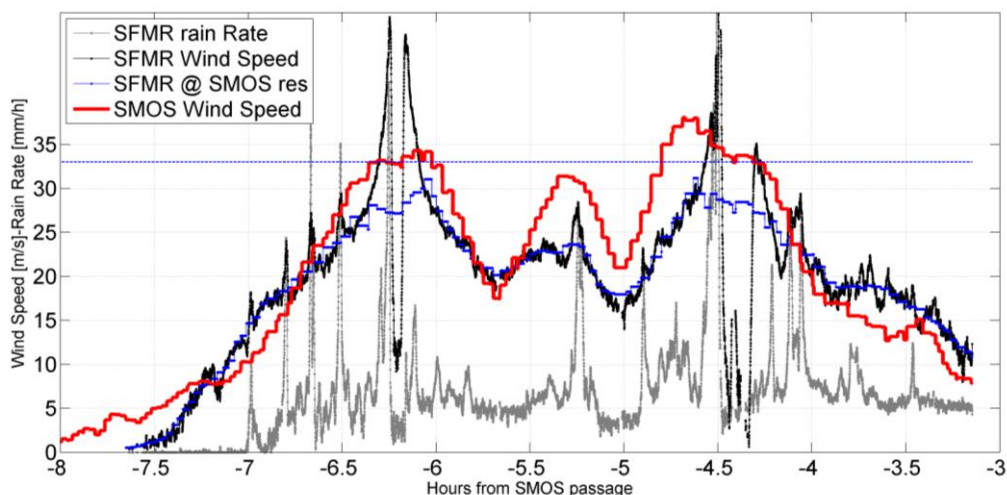
574
575 **Figure 8:** Ensemble of SFMR tracks and associated Wind Speed [knots] used for SMOS-SFMR
576 comparisons (left) and SMOS L-band excess emissivity contrasts co-localized with SFMR flights.
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578 As shown, these data include only intercepts with storms that developed in the North-Atlantic and Gulf
 579 of Mexico. Given the varying sea surface temperature (sst) conditions possibly encountered for all the
 580 storms, in what follows, the radio-brightness contrast estimated from SMOS will be now expressed in
 581 terms of the storm wind-excess emissivity: $\Delta e = \Delta I / sst$. As seen in Figure 8, the relative distribution of
 582 wind speed measured by SFMR closely match the one of the co-localized SMOS Δe , with brightest spots
 583 in SMOS data almost always spatially coincident with the highest wind regions retrieved along SMFR
 584 flights.

585 In figures 9-12, we show representative examples of the co-localized surface wind speed retrieved from
 586 SMOS using the first GMF (Reul et al., 2012) compared to SFMR estimates. Note that SFMR high
 587 resolution Surface Wind Speed (SWS) data were also spatially averaged along their track using a
 588 Gaussian running window of ~30 km width, the highest spatial resolution of SMOS multi-angular pixels.
 589 Both spatially filtered and nominal resolution data are shown for comparisons. As shown in these
 590 examples, SMOS estimate well reproduce in general the SFMR surface wind speed observations. The
 591 match is particularly good in the highest wind speed range although high wind gradients regions and high
 592 spatial-resolution variability around the TCs eyes are often smoothed out by the low spatial resolution of
 593 the SMOS instrument, as also observed in the spatially smoothed SMFR data. Mismatches and biases are
 594 nevertheless also often detected in the intermediate wind speed range from 15 to 30 m/s independently
 595 of the time lags between SMOS and SFMR.

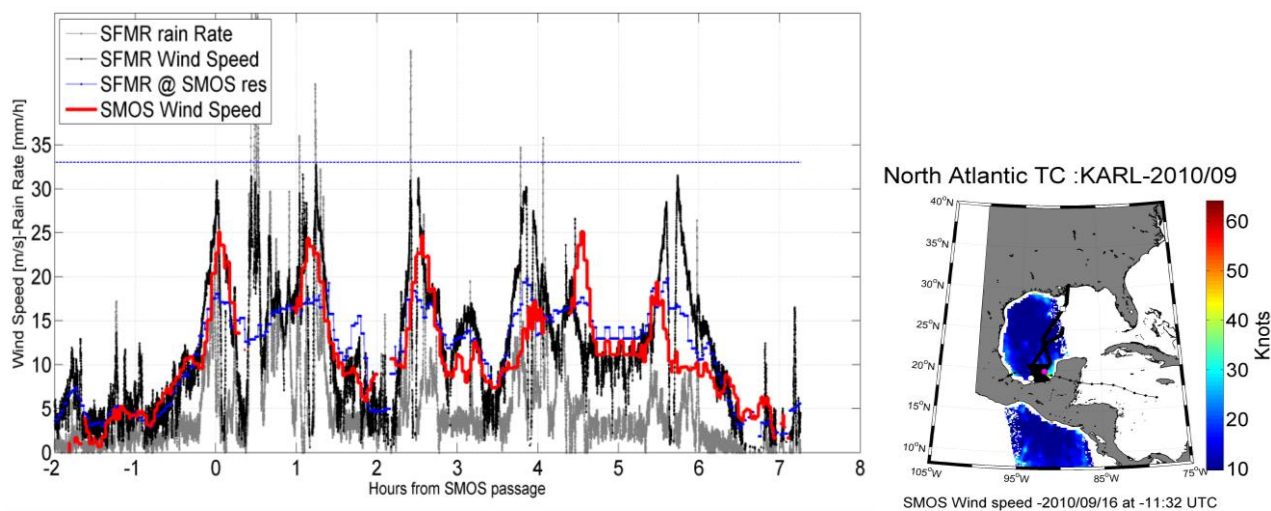


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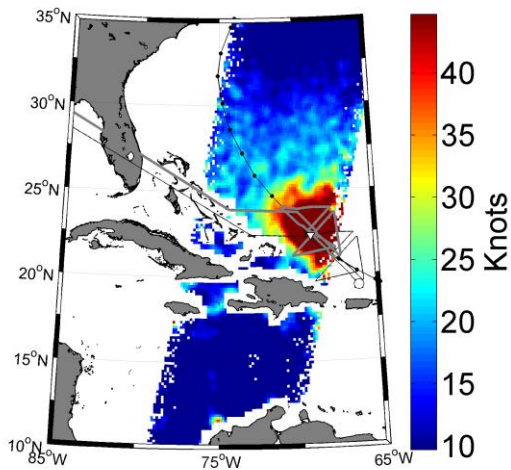
Figure 9: Top left: Time series of the best track maximum sustained wind speed for hurricane Danielle in 2010 with time of intercept from SFMR (red dot) and SMOS (blue dot). Top right: superimposed SMOS retrieved wind speed using the first GMF (color in knots) and SFMR track (black thick curve). The thin dotted curve indicate the storm track. Bottom: time series of the SFMR retrieved wind speed in m/s (black curve) and rain rate in mm/h (grey curve) at nominal resolution along aircraft track (~6 km). The SFMR retrieved wind speed spatially averaged with a running window of 30 km width along track (corresponding to the highest resolution of SMOS interferometer pixels) is shown in blue. The retrieved wind speed from SMOS is shown in red. The x-axis shows the time lag between SFMR acquisitions and SMOS ones.



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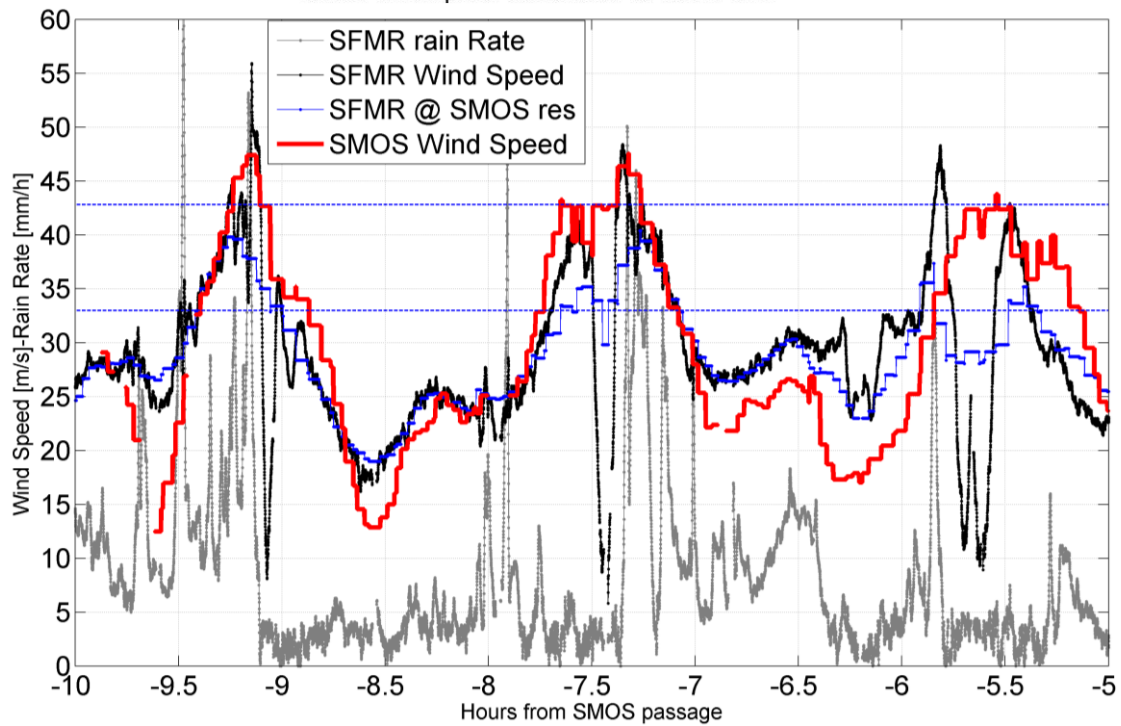
Figure 10: Top: Swath of SMOS retrieved wind speed using first GMF as the instrument intercepted Hurricane Karl on 16 September 2010 as it reached Category 1 intensity. The black curve indicates the track of the aircraft carrying the SFMR. The thin blue line is the storm track. Bottom: time series of the SFMR and SMOS data along the track. The time is centered relative to the SMOS acquisition time. The black curve shows the SFMR retrieved wind speed at original resolution ~3 km. The blue curve shows the SFMR retrieved wind speed smoothed at 43 km resolution along track. The red curve shows the SMOS retrieved wind speed co-localized along the aircraft track. The gray curve shows the SFMR retrieved rain rate.

North Atlantic TC :EARL-2010/08



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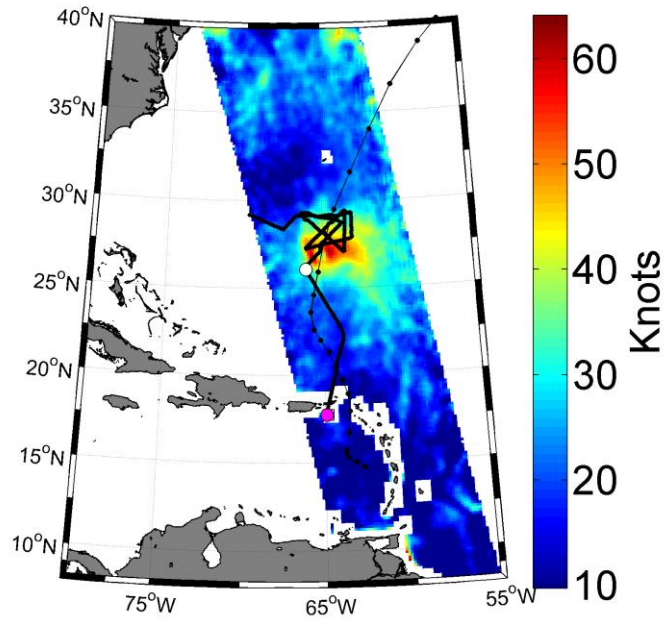
SMOS Wind speed -2010/08/31 at -22:47 UTC



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Figure 11: Idem than Figure 10 but for Hurricane Earl on 31 August 2010.

North Atlantic TC :RAFAEL-2012/10



SMOS Wind speed -2012/10/16 at -09:30 UTC

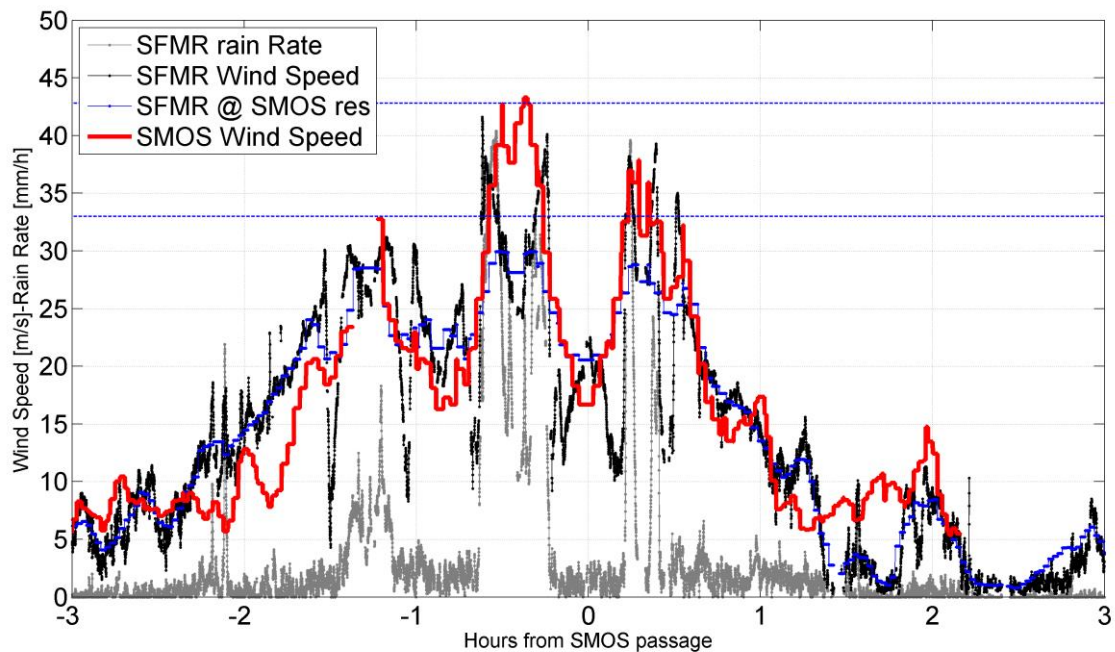
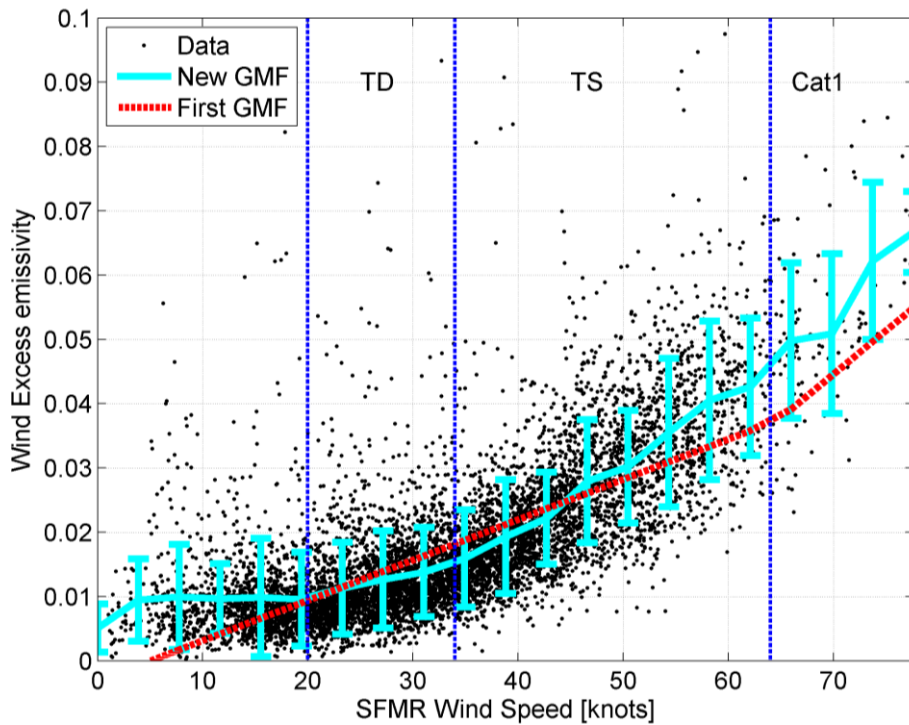


Figure 12: Idem than Figure 10 but for Hurricane Rafael on 16 November 2012.

To minimize the potential impact of the storm structural evolutions in between SMOS and SFMR acquisition times, we selected only those match-ups with $|\Delta t| < 0.5$ h. The storm-induced excess L-band emissivity as function of the co-localized SFMR wind speed spatially smoothed at SMOS resolution and obeying the previous time lag constraint is shown in Figure 13. The median and standard deviation of

636 the Δe values per 5 m/s-width bins of SFMR wind speed are also provided. For comparison, we show the
 637 Reul et al., 2012 bi-linear GMF.



638
 639 **Figure 13:** SMOS storm-induced excess L-band emissivity as function of co-localized SFMR wind speed
 640 collected for an ensemble of 64 flights. The time lags between both observations never exceed 0.5 h. The
 641 red line indicate the first bi-linear GMF proposed in Reul et al., 2012. The cyan curve indicate the mean
 642 wind-excess emissivity per 5 m/s-width bins and the vertical bars indicate ± 1 standard deviation of the
 643 emissivity within each bin.

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 645
 646 As can be seen, while rather close to the first bi-linear GMF estimate, the new GMF based on SMOS-
 647 SFMR wind speed match-ups is a nonlinear function of the wind speed. In particular, the new GMF
 648 shows that the storm-induced excess emissivity is almost wind-speed independent for winds below 20
 649 knts (~ 10 m/s) and equal to ~ 0.01 . In the intermediate wind speeds ranging from 20 to 45 knts (23 m/s)
 650 the linear GMF lies above the new non-linear relationship indicating a potential underestimation of the
 651 retrieved wind speed from SMOS data using the linear empirical law. In the highest wind speed regime
 652 (>45 knts), the reverse is observed with the new GMF function showing systematically higher values
 653 than the linear one.

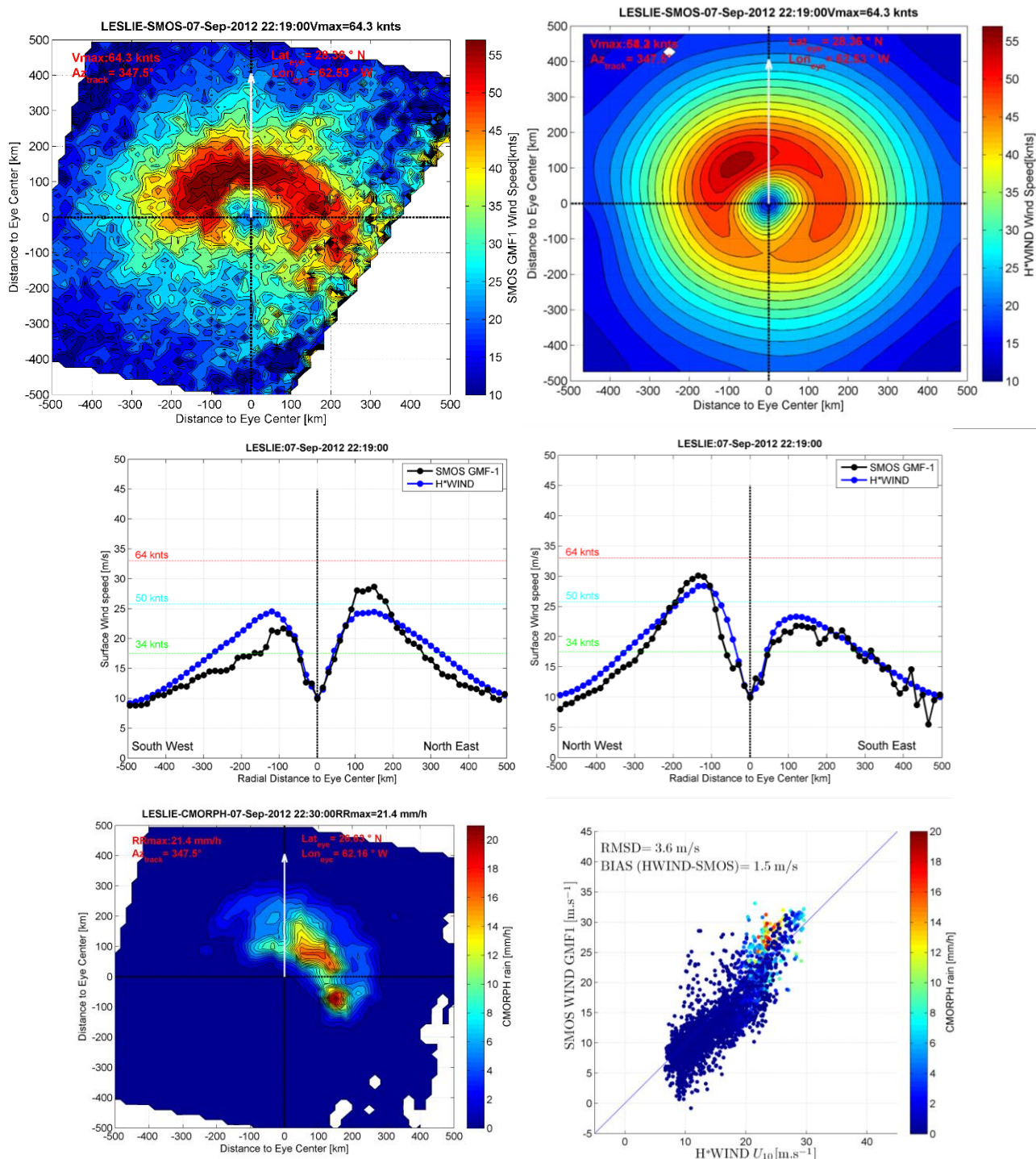
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656 5.2 Systematic comparisons between SMOS and H*WIND

657 The SMOS-SFMR co-localized datasets evidenced that the first GMF's linear empirical law allows in
658 general to retrieve relatively accurate surface wind speed values from SMOS observations in TCs.
659 However, it also highlights a slightly more non-linear behaviour of the Δe as function of surface wind
660 speed than the one we found from IGOR case-only, particularly in the intermediate (10 to 20 m/s) and
661 high (20-40 m/s) wind speed ranges in which the use of the bilinear GMF function might result in under
662 and over estimation of the surface wind speed, respectively. Given the time-lag constraints in the SMOS-
663 SFMR data co-localization and data selection involved in building the GMF, a small amount of match-
664 ups were nevertheless available in the highest wind speed regime with very little data above hurricane
665 force (>64 knts~32 m/s). To increase our confidence in the statistical reliability of the GMF and to gain
666 in quantity of match-ups at the highest winds, we therefore conducted an ensemble of additional co-
667 localizations between SMOS Δe , retrieved SWS (using first GMF as a guess) and H*WIND 2D SWS
668 fields. We found about 30 cases for which either SMOS intercepts data were available within less than
669 0.5 hour from an H*WIND or with the two closest H*WIND wind fields in time (before and after a
670 SMOS overpass of storms) available within 12 hours. In the latter case, an interpolation in time of the
671 two closest storm-centric H*WIND SWS fields was performed at the SMOS time.

672 For illustration, we provide in Figures 14 and 15 two examples of SMOS/H*WIND comparisons. Figure
673 14 shows the results for Hurricane Leslie as it developed to become a Tropical Storm the 7 Sep 2012 at
674 22:19 Z. The rms difference between SMOS and H*WIND SWS fields is ~4m/s. As seen, the structure
675 of both wind fields are very consistent in general, with maximum winds found in the North-West quadrant
676 at a radial distance of about 150 kms and the radii at 34 and 50 knts matching closely between both
677 products in the North West and South east quadrants. Nevertheless, small residual biases are seen in the
678 two other quadrants, with SMOS winds lower than the H*WIND ones and with a smaller 34knts radius
679 in the southwest quadrant.

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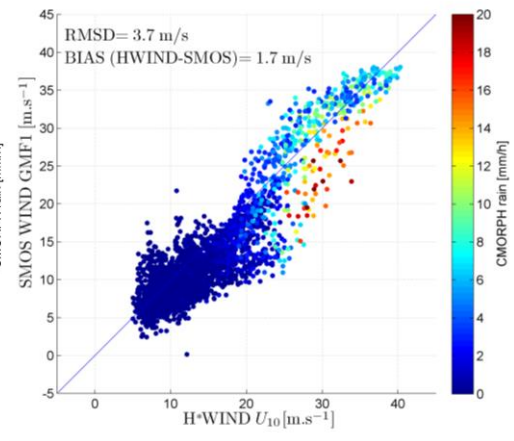
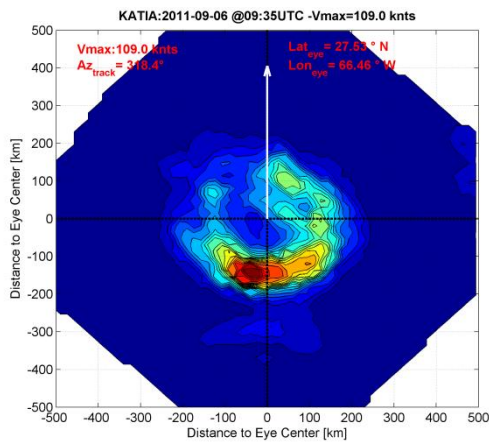
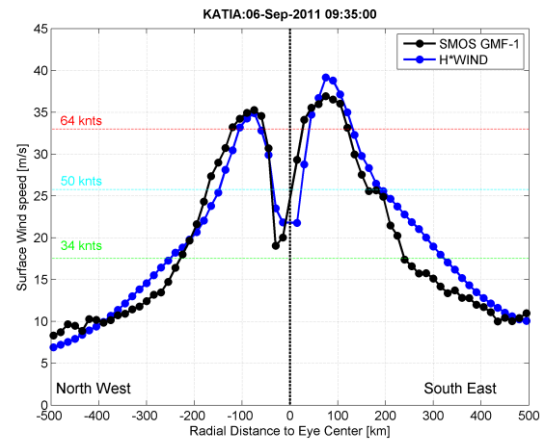
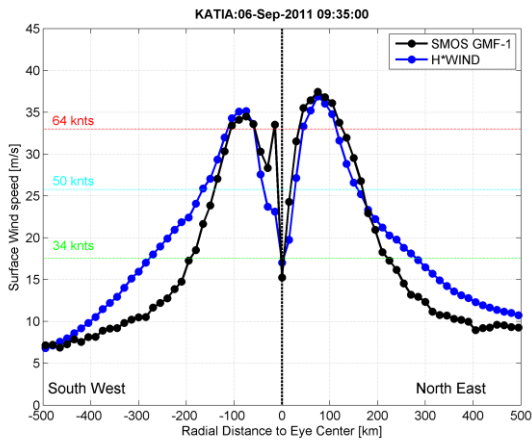
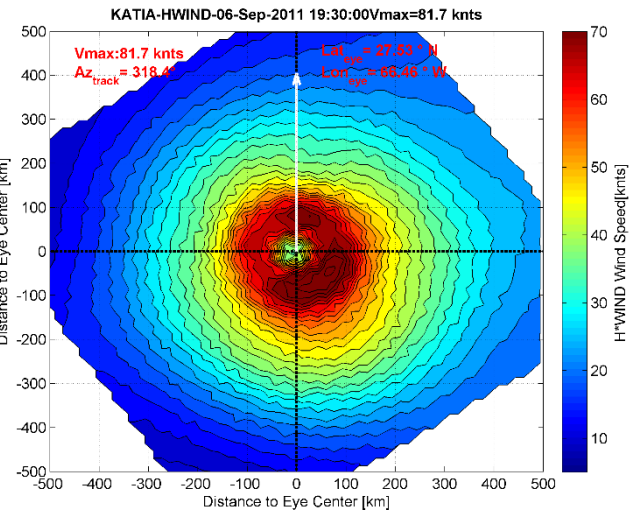
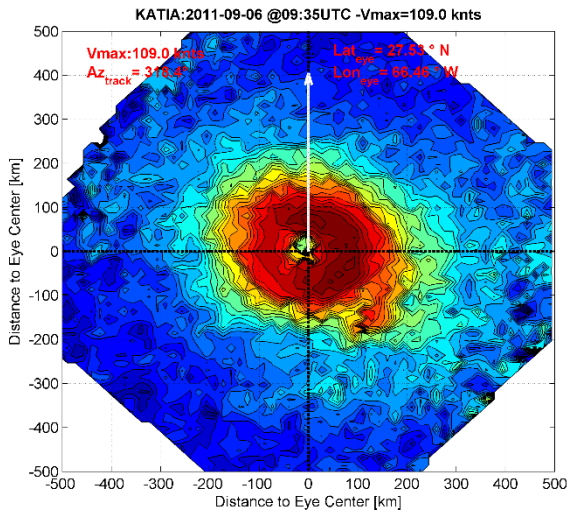
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Figure 14 : Top left: Surface wind speed retrieved from SMOS (first GMF) in a storm centric coordinate system as the instrument intercepted hurricane Leslie the 7 sep 2012 at 22:19. Top right: H*WIND fields interpolated at SMOS acquisition time and spatially averaged at 43 km resolution. Middle panels: Mean radial distribution of the SMOS (black) and H*WIND (blue) SWS from the South West to the North East storm quadrants (left), and from the North West to the South East storm quadrants (right). Bottom left: CMORPH rain rate at SMOS acquisition time. Bottom right: SMOS retrieved wind speed as function of H*WIND with color indicating the rain rate from CMORPH.



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Figure 15: same legend than in Figure 16 but for the case of Hurricane Katia the 6 September 2011 at 09:35 Z.

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SMOS winds are slightly higher than H*WIND in the North East quadrant. As revealed by CMORPH

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data, rain rate was important reaching more than 20 mm/h at some locations in the highest wind speed

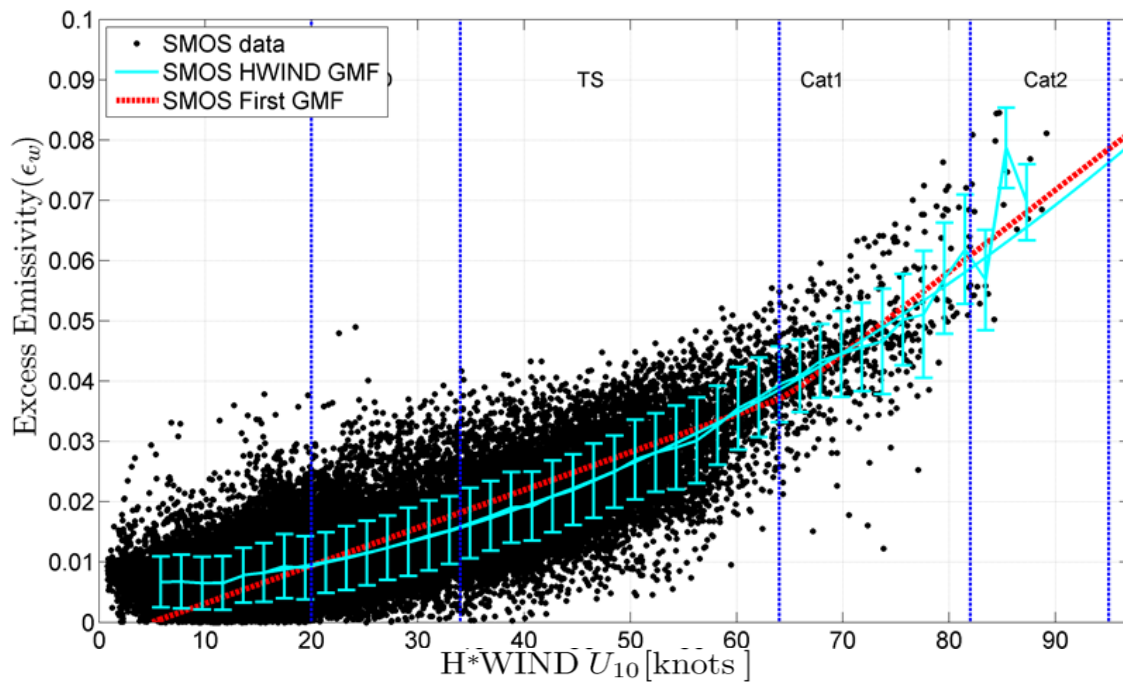
705

band of this quadrant and the higher the retrieved SMOS winds there with respect H*WIND, the highest

706

were the rain rates (see Figure 14, bottom panels).

707 The second example shows the case of Hurricane Katia as it reached a Category 2 intensity. Here again,
 708 the match between both SMOS and H*WIND wind speed fields is rather good in general (rmsd~3.7 m/s),
 709 showing consistent estimates of the maximum wind radius and value around 80 knts, and of the 50 and
 710 64 knts wind radii. Here again, SMOS retrieved winds around 34 knts are nevertheless exhibiting a
 711 slightly smaller wind radii than the H*WIND product. This is consistent with the behaviour expected
 712 from the bilinear GMF according to SMOS/SFMR matchups. However, and contrarily to the case of
 713 Leslie, the intense rain region (with rain rates >20 mm/h) is here associated with underestimated winds
 714 from SMOS with respect H*WIND while it was associated with overestimated winds in the previous
 715 example of Leslie, suggesting that rain is not the principal process responsible for the observed biases in
 716 SMOS versus H*WIND (see Figure 15, bottom right panel).



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 718
 719 **Figure 16:** Wind Excess emissivity as function of co-localized HWIND wind speed collected for an
 720 ensemble of storms in between 2010 and 2013. The red curve illustrates the SMOS first GMF. The
 721 cyan curve show the new 'average' GMF function based on the SMOS/HWIND match-ups. The excess
 722 emissivity data were averaged per 5 knots bins of HWIND winds with vertical bar indicating ± 1
 723 standard deviation of the Δe within each wind speed bin.

724
 725
 726 By cumulating the 30 2D fields co-localized between SMOS radio-brightness contrasts and H*WIND,
 727 we re-analyzed the GMF with a more consequent 'ground-truth' dataset. This is illustrated in Figure 16,

728 which shows the storm-induced excess emissivity as function of H*WINDs 1 mn sustained winds
729 spatially averaged at 43 km.

730 Below 50 knts, the deduced GMF is showing in general very similar differences with the bi-linear one
731 than the previous GMF deduced using only SFMR data (this is somehow expected as SFMR data are
732 used as key input data to derive the H*WIND analyses): the newly derived GMF from SMOS/HWIND
733 is non-linearly, almost quadratically, dependent on wind speed with lower Δe values than the linear law
734 between 20 and 50 knts. In the wind speed regime over 50 knts, the H*WIND derived GMF is well
735 reproducing the first GMF which was not the case for the SFMR matchups. A quadratic fit through the
736 data give the following GMF function for the half-power L-band storm-induced brightness temperature
737 contrast as function of the H*WIND 1mn sustained surface wind speed averaged at SMOS spatial
738 resolution:

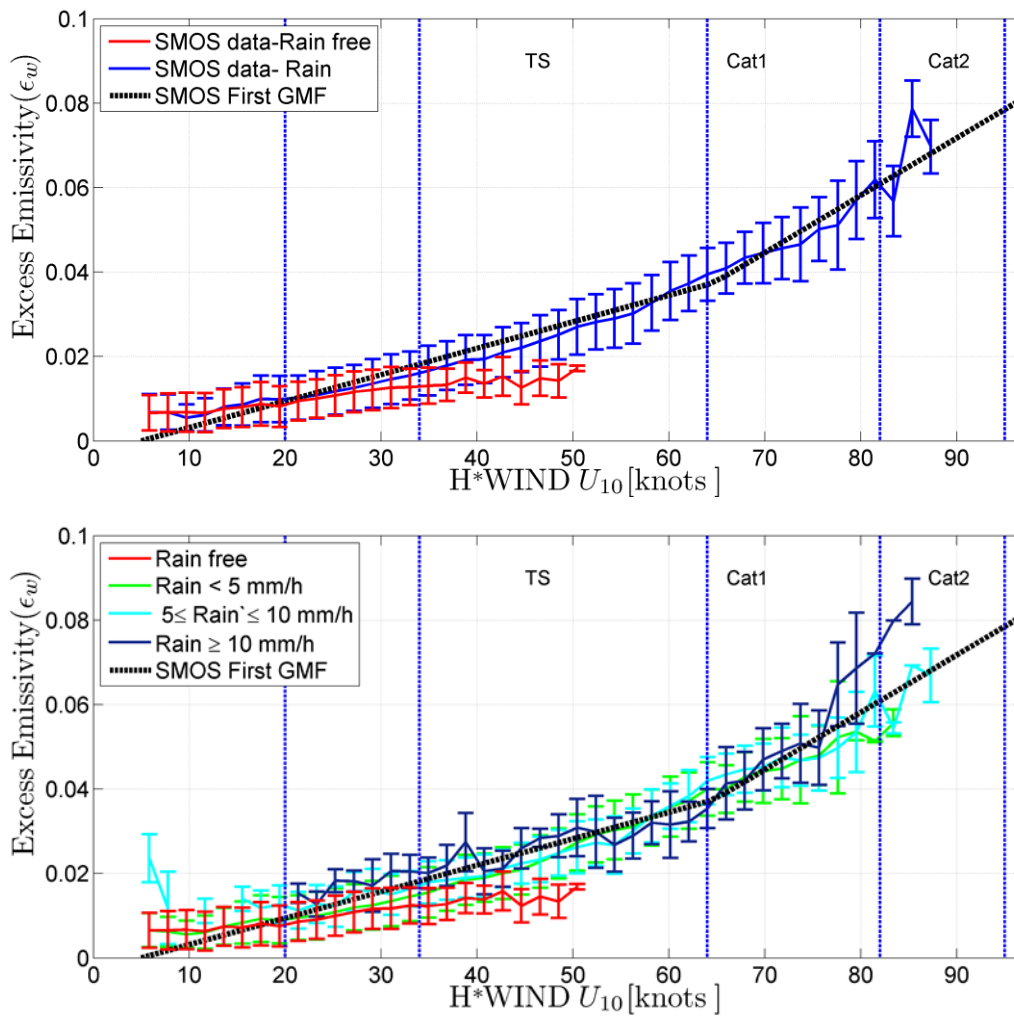
$$\Delta I(U_{10}) = SST \cdot (2.7935 \times 10^{-5} U_{10}^2 + 6.8599 \times 10^{-5} U_{10} + 0.0059) \quad \text{Eq (1)}$$

739 As H*WIND and SFMR-based fits are very similar in the low to moderate wind speed range and given
740 the fact that the H*WIND GMF is based on SFMR data but also that this dataset provide a much higher
741 number of match-ups at high wind, in the following we will use the H*WIND-based expression of the
742 GMF (Eq.1) as the new reference GMF for retrieving surface winds from L-band radio-brightness
743 contrasts data.

744 **5.3 Potential Impact of Rain**

745 The previous GMFs were built assuming that there is no impact of rain and sea state on the L-band
746 contrasts. Using CMORPH co-localized 2D observations, all data used to build up the H*WIND-based
747 GMF can be now characterized in terms of rain rate. In figure 17 top panel, we show the bin-averaged
748 Δe as function of wind speed for rain free and rainy conditions. Data showing both conditions are only
749 available up to 50 knots. The figure evidences that in this range of surface winds, the rain free emissivity
750 contrast at a given wind speed is systematically lower than the equivalent one measured in rainy-
751 conditions. The differences in emissivity contrasts between rainy and non-rainy conditions reach a

752 maximum of ~ 0.01 observed at 50 knts, which at an SST of 28°C would translate into a 3 K shift in ΔI
 753 due to rain.



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Figure 17: Potential effects of rain on the excess L-band emissivity in storm conditions. Top: GMF deduced from the SMOS-HWIND matchups with rain rate provided from CMORPH=0 (red) and rain rate > 0 (blue). Bottom: idem except that the data are now classified by ranges of rain rate (RR): RR=0 (red), $0 < RR \leq 5$ mm/h (green), $5 \text{ mm/h} < RR \leq 10$ mm/h (cyan) and $RR > 10$ mm/h. (blue).

761
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According to the sensitivity of the GMF (~ 0.3 K/ m/s below hurricane force and ~ 0.7 K/(m/s) above 32

763 m/s=64 knts), the rain effect might therefore translates into maximum wind speed retrieval errors of 10

764 and 5 m/s below and above hurricane force, respectively. In figure 17 bottom panel, we show the data

765 further classified as function of rain rate intensity. No clear stratification of the Δe as function of

766 increasing rain rate is observed in the data, with all observations in rainy conditions lying close around

767 the bi-linear GMF. This can potentially indicates that the rain is not directly responsible for the difference

768 of emissivity between the rain-free and rainy conditions. Small ice particles are known to exist between

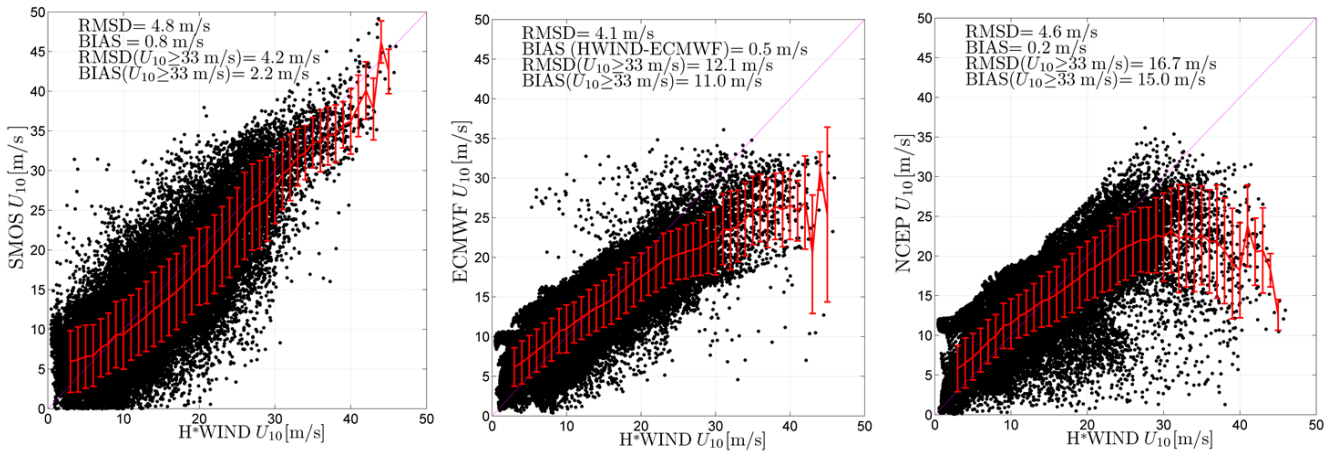
769 the eyewall and outer rainbands, of TCs and graupel particles are often collocated with the radius of
770 maximum tangential wind (Houze et al., 1992). Hurricanes are usually glaciated everywhere above the
771 -5°C level and the stratiform areas are dominated by snowflakes (aggregates) at these levels (Black and
772 Hallett., 1986). The variation of ice phase cloud characteristics on the top of the cyclones and the
773 associated varying contributions of these clouds to the L-band emission between the rainy and rain-free
774 conditions might be a plausible source for the observed differences in $\Delta\epsilon$. Nevertheless, no data
775 characterizing the upper atmosphere in terms of ice-phase content is available to estimate that effect.

776 **5.4 Validation of SMOS winds and relative accuracy with ECMWF and NCEP**

777 The SMOS GMF given in Equation 1 was derived based on an ensemble of 30 H*WIND products,
778 covering about 20 storms in the Atlantic and Gulf of Mexico over several years. The first bi-linear GMF
779 from Reul et al., 2012 was only derived based on 2-3 H*WIND fields during Hurricane Igor in 2010.
780 Validating the new GMF with the H*WIND data as a limited interest as the latter were already used to
781 derive the GMF per construction. To assess the performance of SMOS winds with respect NWP products
782 using the 30 collected H*WIND fields as the validation dataset, we therefore use the wind speed retrieved
783 based on the first GMF. ECMWF and NCEP surface winds were co-localized with the storms intercepted
784 in the 30 pairs of SMOS-HWIND data fields.

785 There might be some residual rain or ice effects degrading the quality of the retrievals as discussed in the
786 previous section. However, given the fact that we don't have yet a methodology to correct for these
787 effects, they are neglected in the comparisons that follows. The differences between H*WIND and the
788 SMOS SWS were evaluated using the bilinear GMF function that was quasi-independently derived from
789 the H*WIND dataset used for here validation. In Reul et al., (2012) we estimated based on IGOR case
790 alone that the rms error on wind speed was on the order of 5 m/s. This new validation against the 30
791 H*WIND 2D fields shall provide a more reliable quality assessment of the relative quality of SMOS
792 wind speed products as based on a significantly larger ensemble of match-ups. SMOS, ECMWF and
793 NCEP surface winds are compared to the reference H*WIND fields in Figure 18.

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798 **Figure 18:** Comparisons between co-localized SMOS, ECMWF or NCEP wind speeds and H*WIND ones
 799 for an ensemble of ~30 intercepts with tropical storms and hurricanes over the period 2010-2013. Left:
 800 SMOS retrievals (first GMF) versus H*WIND, ECMWF winds (Middle) and NCEP (right) winds versus
 801 H*WIND. The red curves are showing the mean y-axis wind speed in bins of 1 m/s width of H*WIND
 802 SWS data ± 1 standard deviation. Root mean square differences (RMSD) and biases between each
 803 products and Hwind ones are provided in each panel for the complete wind speed range and for the
 804 hurricane wind speeds (>33 m/s).

805

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807 Statistics of the differences between these three estimates of the surface wind speed in TCs and H*WIND

808

data are provided in Table 1.

809 **Table 1:** Statistics of the differences between co-localized H*WIND and SMOS, ECMWF and NCEP
 810 surface wind fields (positive mean difference means that H*WIND is greater than the considered
 811 product).

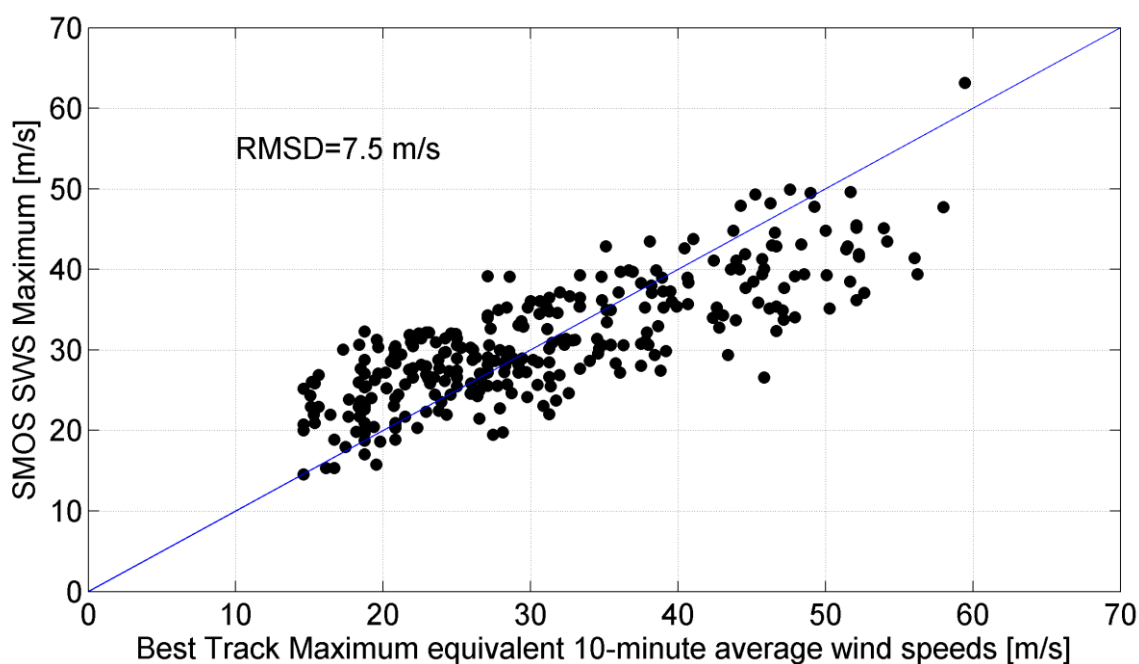
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| | Wind Speed Range (m/s) | SMOS | ECMF | NCEP |
|-----------------------|------------------------|------|------|------|
| RMSD (m/s) | 0-50 | 4.8 | 4.1 | 4.6 |
| Mean difference (m/s) | 0-50 | 0.8 | 0.5 | 0.2 |
| RMSD (m/s) | 33-50 | 4.2 | 12.1 | 16.7 |
| Mean difference (m/s) | 33-50 | 2.2 | 11.1 | 15 |

813

814 As shown in table 1, the rms and mean differences between H*WIND products and the three surface
 815 wind speed products are very similar considering the full wind speed range between 0 and 50 m/s, ranging
 816 from 4 to 5 m/s, and 0.2-0.8 m/s, respectively. At hurricane force above 33 m/s, SMOS wind speed
 817 accuracy nevertheless outperforms the NWP winds with rms and mean differences between SMOS and
 818 H*WIND data of about 4 m/s and a 2 m/s, respectively; both NCEP and ECMWF products hardly reach

819 wind speed values above hurricane force as both saturate with increasing wind speed to show rms
 820 differences and biases with H*WIND significantly above 10 m/s in this high wind speed range.
 821 We clearly showed in the previous section that the ‘average’ temperature excess is a monotonically
 822 increasing parameter with increasing the storm intensity. An important question is to investigate whether
 823 the maximum sustained wind speed, a key parameter in all parameterization of hurricane wind field
 824 dynamics, can be retrieved from SMOS given the relatively low spatial resolution of the instrument. The
 825 highest winds regions are often extending over domains with typical scales on the order of, or smaller
 826 than, 100 km, with very significant wind speed gradients found over small distances relative to the SMOS
 827 pixel size, particularly in the eyewall region. This results into spatial smoothing of the maximum wind
 828 values: the stronger the gradients over short distances, the more important the attenuation effect: this was
 829 clearly observed when comparing SMOS retrievals with SFMR high and low resolution observations.



830
 831 **Figure 19:** Relationships between the maximum of SMOS winds as function of the Best track Maximum
 832 Sustained Wind speed.
 833

834 To assess the quality of the maximum wind speed inferred from SMOS products, we compared the
 835 maximum wind derived from the second GMF in each of the 300 intercepts selected from the SMOS-
 836 STORM database to the maximum wind interpolated at SMOS time from the 6-hourly best track data.
 837 The comparison between both estimates shown in Figure 19 reveals that the maximum wind derived

838 from SMOS in general correlates well with the Best-track maximum sustained wind. The rms difference
839 is nevertheless higher than it was found for the all-wind speed comparisons, reaching ~ 7.5 m/s. An
840 underestimation of the maximum wind is also systematically visible in the SMOS products, the higher
841 the wind speed above ~ 40 m/s. Sources for this limitation is likely the previously discussed spatial-
842 smoothing effect of the satellite sampling, with a predominant impact in the very high wind and high
843 gradient regions.

844 **6 Conclusions and perspectives**

845 Five years (May 2010- April 2015) of SMOS L-band brightness temperate data intercepting a large
846 number of Tropical Cyclones at global scale have been analysed in this paper. A subset of about 300
847 intercepts were carefully selected to provide the highest quality observations available covering the full
848 range of storm intensities on the Saffir-Simpson High Wind Scale (SSHWS). The storm-induced half-
849 power radio-brightness contrasts ΔI were estimated for each SMOS intercept of the storms and expressed
850 in a common storm-centric coordinate system.

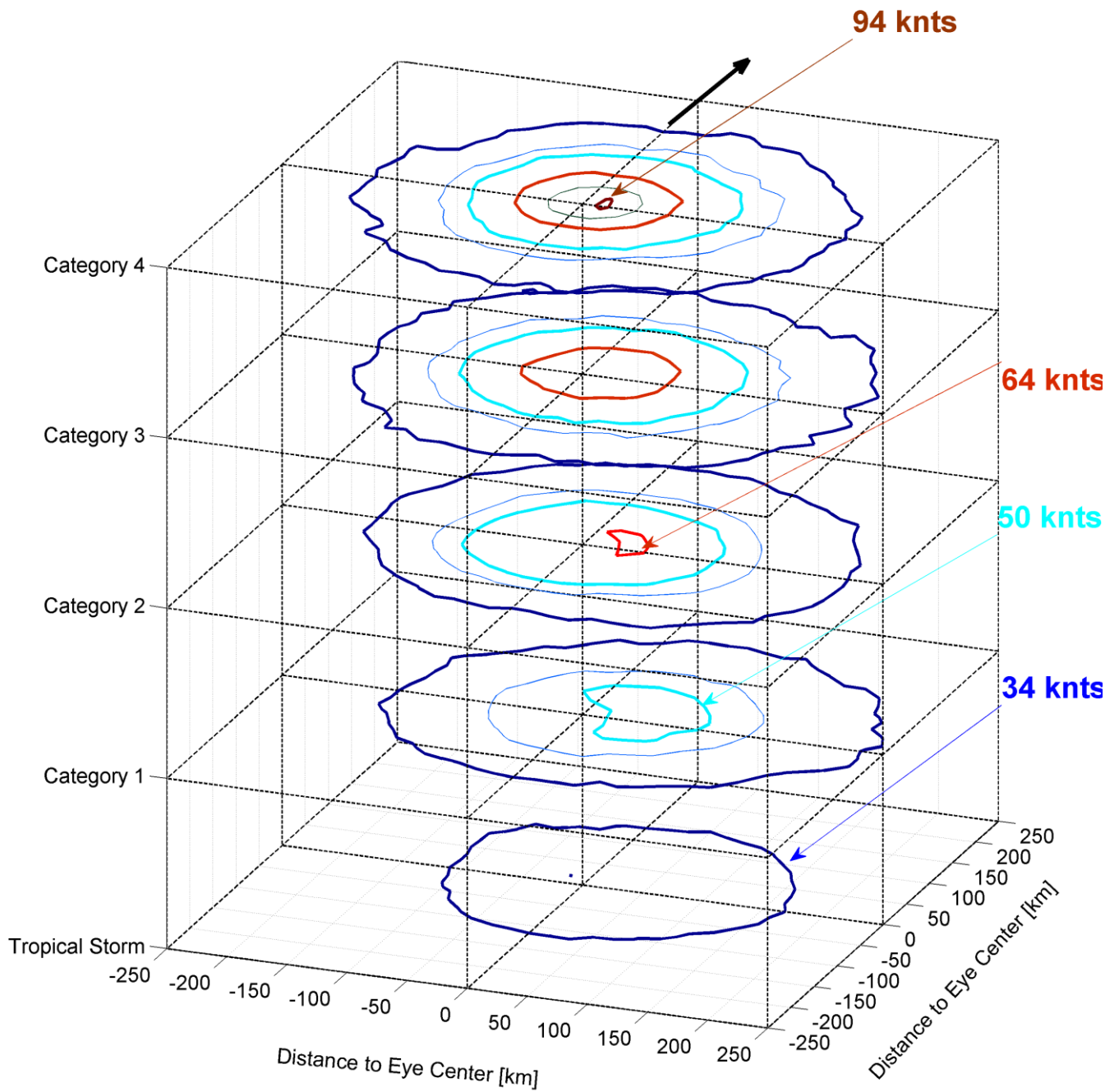
851 The 2D mean and standard deviation of the ΔI were further evaluated for each intensity classes of the
852 SSHWS. The averaged distributions of ΔI show that the mean brightness contrast amplitude is coherently
853 increasing with the increasing intensity of TCs. The radii within which the brightest ΔI values are found
854 for each category is also seen to diminish as the storm intensity increases, consistent with the reported
855 evolution of the highest surface wind distribution in TCs (Holland, 1980). A remarkable feature of the
856 mean ΔI fields is that the maxima of ΔI are systematically found on the right-hand sides quadrants of
857 the storms. Here again, this is consistent with the reported asymmetric structure of the wind and wave
858 fields in hurricane, with the maximum in wind speed and sea surface heights generally occurring in the
859 right-hand side quadrants of the storms (in the northern-hemisphere) because of the relative wind and
860 extend-fetch effects created by a translating storm. For categories 1-5 TCs, the brightness standard-
861 deviation is showing a quasi-annular distribution around the storm centers, with local minima at the
862 center, a signature of the relatively calm eye of the TCs and local maxima in the right-hand quadrant of
863

864 the storms. For storm intensities above and including category 4 on the SSHWS, the instrument however
865 hardly resolves the detailed TC eye structures for those most intense storms which exhibit maximum
866 wind radii below the SMOS pixel size (~43 km). The mean brightness contrast is monotonically
867 increasing with storm intensities from about 5K for tropical storms up to ~22K for the most intense
868 category 5 cyclones without showing saturation above hurricane force (32 m/s) illustrating the potential
869 of the SMOS data for better monitoring TC intensification.

870 A bi-linear GMF relationship between ΔI and the 10 m height surface wind speed U_{10} has been first
871 proposed in Reul et al., 2012. This first GMF was inferred solely from very few observations acquired
872 over a single north-Atlantic hurricane event (Category 4 hurricane IGOR in 2010). A revision of that
873 GMF has been provided in this paper using a much larger ensemble of co-localized SMOS, SMFR flight
874 track data and analysed 2D H*Wind fields. In average, we found that the L-band radio-brightness contrast
875 evolves quadratically with surface wind speed and we proposed an empirical parametric law relating ΔI
876 and the 10 m height surface wind speed U_{10} . Major differences with the first bi-linear GMF of Reul et
877 al., 2012 are found in the low to moderate wind speed regimes. Use of the new GMF shall help reducing
878 observed biases in the SMOS surface wind retrievals below 50 knts.

879 Using co-localized rain rate estimates from CMORPH, we have shown that the L-band radio-
880 brightness contrast measured in TCs in rain-free conditions do not evolve similarly with wind speed than
881 the one acquired in rainy ones. Differences can reach up to 3K, which might translate into maximum
882 SWS retrieved errors of ~10 m/s below hurricane force (~32 m/s) and 5 m/s above. Larger errors are
883 found in the lowest wind speed regime because of the smaller sensitivity of the ΔI function to wind speed
884 below hurricane force. Further classifying the data as function of increasing rain rate for fixed wind speed
885 values, we couldn't however evidence any clear dependencies with increasing rain rate. This seems to
886 indicate that other geophysical contributions might explain the observed differences in ΔI between rain-
887 free and rainy conditions: the variation of ice phase cloud characteristics on the top of the cyclones and
888 the associated varying contributions of these clouds to the L-band emission might be a plausible source.

889



890 **Figure 20:** Synoptic structure of the surface wind field in Tropical Cyclones as retrieved from SMOS
 891 data as function of the Saffir-Simpson High Wind intensity scale. Average 2D wind fields from SMOS
 892 are contoured at levels of 34 (thick dark blue), 44 (thin blue), 50 (thick cyan), 64 (thick red), 80 (gray)
 893 and 94 (thick chesnut) knts. The thick black arrow is indicating the averaged storm propagation direction.
 894
 895
 896
 897 Neglecting the potential rain/ice impacts, we compared SMOS, ECMF and NCEP winds to a large
 898 ensemble of H*WIND 2D fields spatially averaged at the SMOS ~43 km nominal spatial resolution.
 899 Results showed that the surface wind speed in TCs can be retrieved from SMOS data with an rms error
 900 on the order of 4-5 m/s up to 50 m/s. The SMOS wind product performances when compared to H*WIND

901 ‘ground-truth’ data in the hurricane wind speed range (above 32 m/s) are a factor 3 to 4 better than the
902 one from the NWP products, which are heavily underestimating the surface wind speed in these extreme
903 conditions. The maximum wind speed estimated from SMOS was shown to be consistent with best-track
904 estimates but also exhibits a degraded rms error of ~ 7.5 m/s compared to the all-wind results. This
905 degraded accuracy for the maxima is thought to be induced by 1) the spatial-smoothing effect of the
906 instrument sampling in the high-wind gradient zones of the eyewalls and 2) a potentially higher effect of
907 rain and cloud ice on the L-band emissivity in these regions.

908 Applying the new quadratic GMF function to the average radio-brightness contrasts estimated as
909 function of storm intensities, we are now in a position to provide a synoptic view of the surface wind
910 field observed by an L-band passive sensor in tropical cyclones and its structural evolution as function
911 of increasing TC intensities. This is illustrated in Figure 20 where is shown the average structure of the
912 surface wind field in Tropical Cyclones as retrieved from SMOS data as function of the Saffir-Simpson
913 High Wind intensity scale. Average 2D wind fields from SMOS show that the radii of the most intense
914 winds always start to appear on the RHS quadrants of the storms for a given intensity. As the storm
915 intensity increases, the wind speed above a certain threshold spreads within a quasi-circular domain of
916 almost constant radii: ~ 200 km for winds above 34 knts, 120 km for winds above 50 knts and ~ 75 km
917 for winds above 64 kts. Following the approach of Chavas and Emanuel, (2015) who used an historical
918 datasets of QuickSCAT observations to analyse the wind structures in the outer region of tropical
919 cyclones at large radii, the SMOS synoptic wind structure could be used to assess the quality of available
920 Hurricane wind models (e.g. Holland, 1980) for almost the complete radial structure of the low-level
921 tropical cyclone wind field. This task is left for future work.

922 An important result of this study is that the average L-band brightness temperature systematically
923 indicates maxima in the RHS quadrant of the storms above hurricane force.

924

East Pacific TC : CELIA-2010/06

East Pacific TC : DANIEL-2012/07

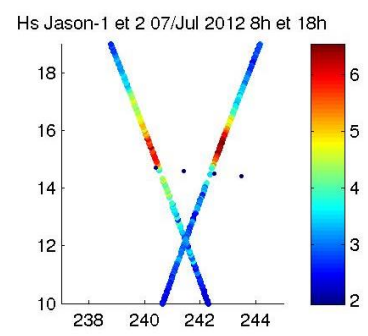
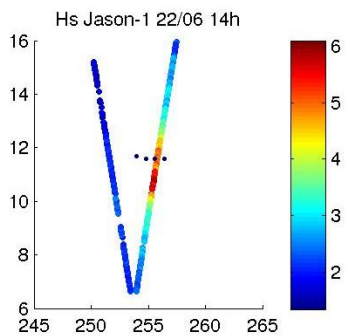
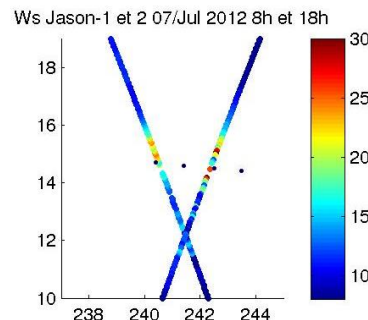
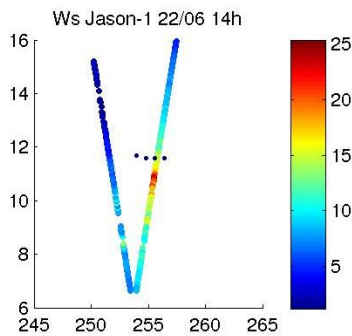
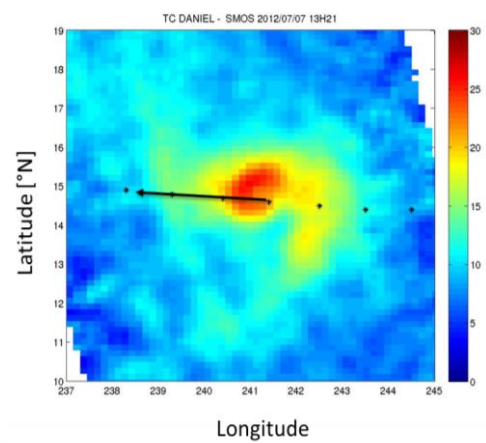
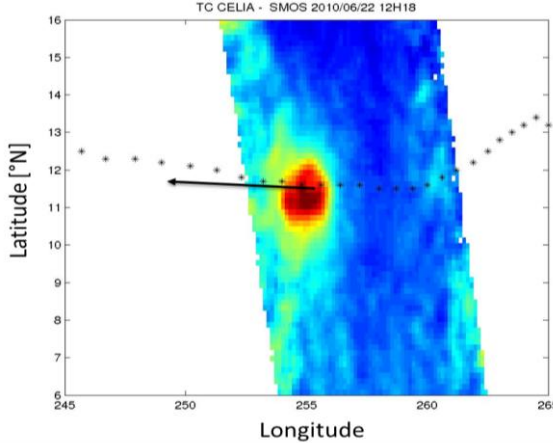
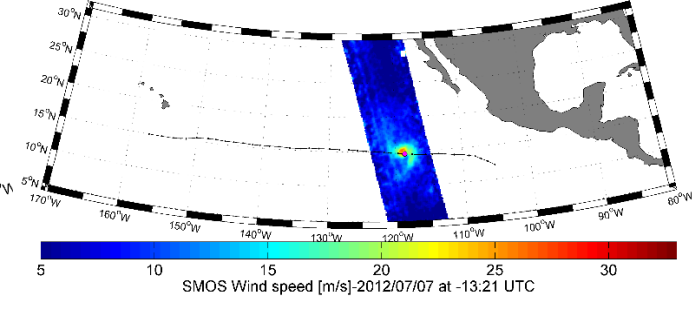
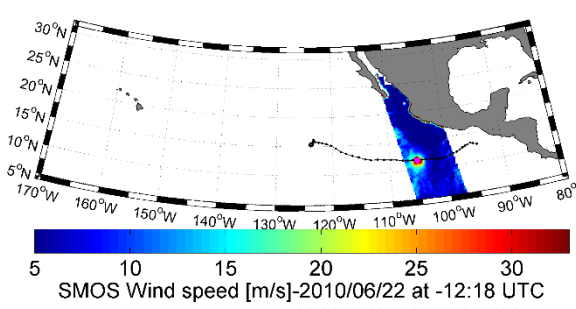


Figure 21: Top: large-scale views of SMOS swath retrieved wind speed as the instrument intercepted 2 TCs propagating westward in the eastern pacific: Celia the 22 June 2010 at 12:18 UTC (left) and Daniel the 7 July 2012 at 13:21 UTC. Middle: blow-up on the corresponding retrieved SMOS wind fields. The asterixes and the arrows indicate the eye-tracks and their translation directions, respectively. Third panels show the surface wind speed estimated along Jason-1 track at 14h as it intercepted Celia (left) and the one from Jason-1 (8h) and 2 (18h) as they intercepted Danielle. Bottom panels show the significant wave heights evaluated along each altimeter tracks.

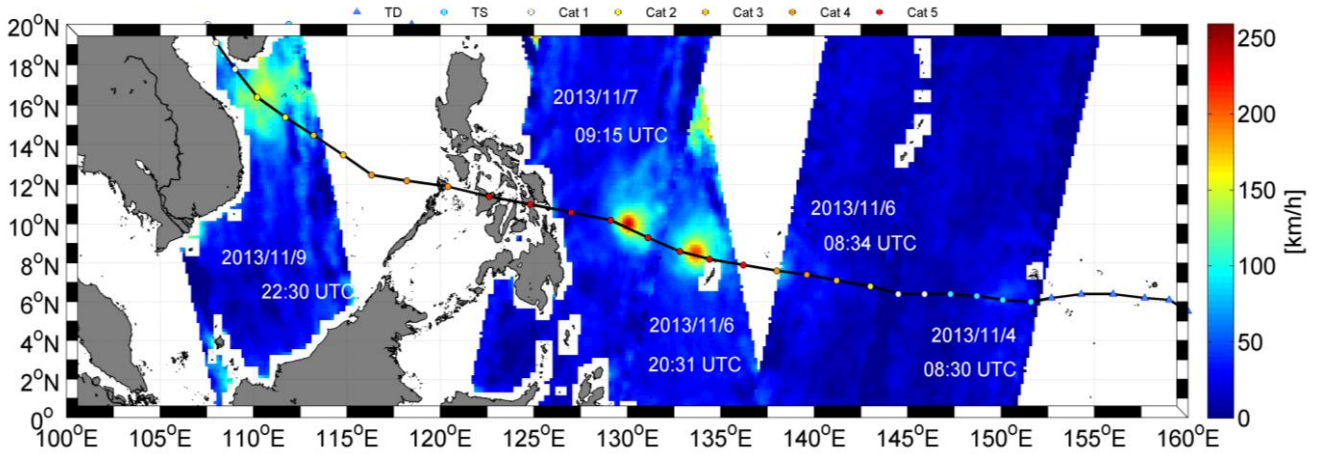
This is consistent with reported structures in TC wind models but waves and associated generation of foam are also known to show large asymmetries in storm quadrants with clearly evidenced maxima in

937 significant wave height found in the RHS quadrants because of the ‘extended-fetch’ effect (Young,
938 2003). The relative contributions of wind and waves to the increase of L-band radio-brightness contrasts
939 remain uncertain and need detailed investigation, using, e.g., systematic co-localizations between SMOS
940 data, ground-truth surface winds, and wind & sea state measurements from altimeters (Quilfen et al.,
941 2011). This is an on-going research that our team conduct in the frame of the ESA STSE SMOS-STORM
942 project that funded the present study. Two interesting preliminary examples of consistent quadrants
943 asymmetries revealed in both SMOS and altimeter data are shown in Figure 21. Hurricane Celia (2010)
944 and Daniel (2012) both developed in the eastern Pacific (northern-hemisphere) as their eye translated
945 towards the west. According to the wind and wave development theories in TCs, maximum wave height
946 and winds shall be found on the RHS of the tracks. As illustrated in Figure 21, middle panels, this was
947 clearly the case for hurricane Daniel but not for Celia, for which the SMOS retrieved winds clearly exhibit
948 a maximum on the LHS of the storm track. These observed quadrant asymmetries are very consistent
949 with the altimeter observations of both retrieved wind and wave height, although the altimeter-derived
950 SWS in Daniel is affected by rain: Jason-1 clearly show maxima in wind and waves on the LHS and RHS
951 quadrants of Celia, and Daniel, respectively. A large ensemble of such SMOS-altimeter co-localized data
952 shall help us in the future inferring the statistical impact of waves and sea state relative to the wind effect.

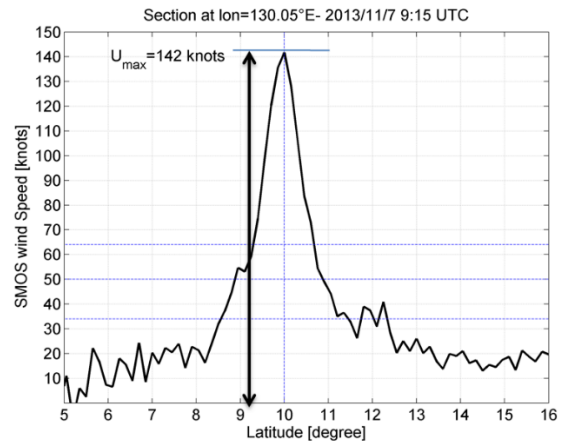
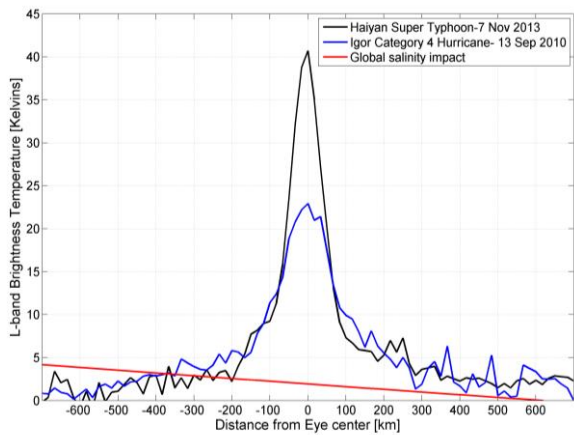
953 A very promising perspective is the creation of a passive low-microwave frequency-based SWS
954 products storm catalogue that would be built by merging SWS data in TCs inferred from SMOS, AMSR2
955 and SMAP sensors. An illustration of such enhanced storm tracking capability by merging SMOS and
956 AMSR2 is given in Figures 22 and 23 for the case of the super typhoon Haiyan that devastated
957 Philippines in Nov 2013. Typhoon Haiyan (known in the Philippines as Typhoon Yolanda) slammed into
958 the Philippines in November 2013 with sustained winds of 310 kilometers per hour, making it one of the
959 strongest tropical storms to date and the second-deadliest Philippine typhoon on record. Haiyan
960 originated from an area of low pressure in the Federated States of Micronesia on November 2 (see Figure
961 22 top panel).

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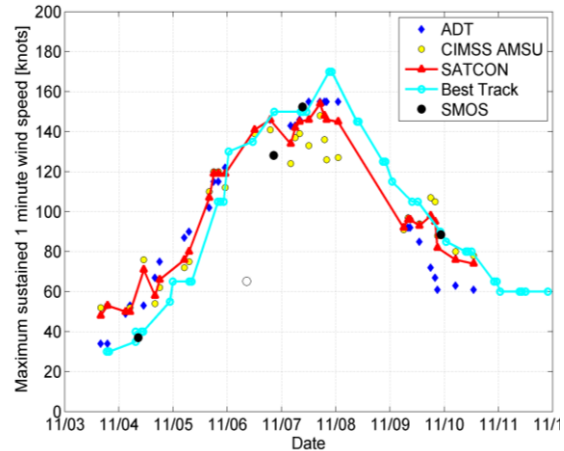
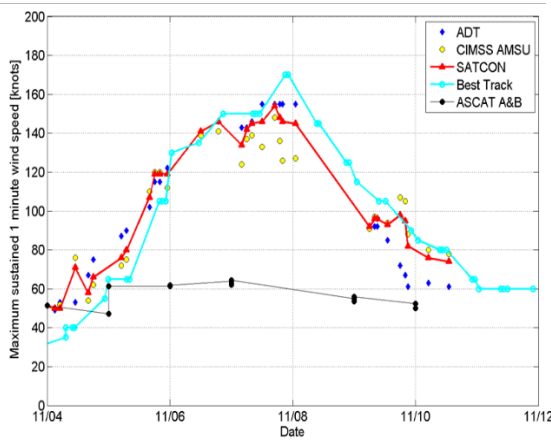
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Figure 22: Ensemble of SWS fields retrieved from the SMOS swaths that intercepted super typhoon Haiyan in November 2013. Middle left: L-band radio brightness contrast ΔI measured along a South-North section passing through the eye of super Typhoon Haiyan (black) as it reached category 5 intensity on 7 November 2013 and Category 4 hurricane Igor the 13 Sep 2010. The range of variations of ΔI for all possible SSS and SST values is given by the red line. Middle right: SMOS retrieved wind speed [knts] along the South-North section through Haiyan. Bottom left: Maximum sustained 1 minute wind speed estimated during Haiyan Typhoon. From ASCAT A & B data (black filled dots) compared to estimates made available by the Cooperative Institute for Meteorological Satellite Studies (CIMSS, see <http://tropic.ssec.wisc.edu/>): Advanced Dvorak Technique (ADT=blue diamond), CIMSS (yellow filled dots), SATCON (red) and Best Track from NHC (cyan).. Bottom right: idem than the left panel but with the maximum wind speed from SMOS (black filled dots). Note the empty circle corresponds to the SMOS measurements for the 6 November (am) for which only a small portion of the cyclone signal was intercepted. 10 minutes wind speed deduced from SMOS algorithm were multiplied by 1/0.93, adopting the conversion factor proposed in (Harper et al., 2010) between one minute winds and 10 min winds.

981 Tracking generally westward, environmental conditions favored tropical cyclogenesis and the system
982 developed into a tropical depression the following day. After becoming a tropical storm and attaining the
983 name Haiyan at 0000 UTC on November 4, the system began a period of rapid intensification that brought
984 it to typhoon intensity by 1800 UTC on November 5. By November 6, the Joint Typhoon Warning Center
985 (JTWC) assessed the system as a Category 5-equivalent super typhoon on the Saffir-Simpson hurricane
986 wind scale; the storm passed over the Palau shortly after attaining this strength.

987 SMOS intercepted the typhoon several times along its track. We selected only those passes where the
988 signal was well detected and not too contaminated by RFI or land masses. As illustrated by Figure 22,
989 this led to one pass on the 4 as Haiyan was still a Tropical Storm, two on the 6th Nov (with the morning
990 pass capturing only a small portion of the typhoon), one on the 7 prior landing towards Philippines and
991 one interception on the 9, just before it passed over Vietnam. Passes on the evening of the 6 and during
992 the 7th morning were close in time from the maximum intensity reached by that super storm (reached on
993 the evening of the 7th). As illustrated by Figure 22 middle left panel, the estimated excess brightness
994 signal (First Stokes parameter/2) due to surface roughness and foam-formation processes under the
995 cyclone on the 7th morning overpass (i.e., after correcting for atmosphere, extra-terrestrial sources,
996 salinity and temperature contributions) reached a record value of 41 K. To put such value in perspective
997 of other natural oceanic signals, we plotted together the Tb jump measured during the passage of
998 Hurricane Category 4-5 Igor in 2010, which was only 22 K! In contrast, global changes of surface salinity
999 (32-38 pss) and temperature (0°C-30°C) only modify the Tb by ~5 K. So we believe such signal is very
1000 likely a natural extreme of sea surface emission at L-band over the oceans. Application of the GMF to
1001 retrieve surface wind-speed from the SMOS excess brightness temperature, we obtained the wind speed
1002 module shown in Figure 22, middle right panel. One can easily see that around the cyclone eye, wind
1003 speeds largely exceed the 64 knots threshold for typhoons within a more than 50 km radius. The spatial
1004 resolution of SMOS however does not allow to resolve the detailed wind speed structure around the eye.
1005 The maximum wind estimated from SMOS nevertheless reach an extremely high value of 142 knots.

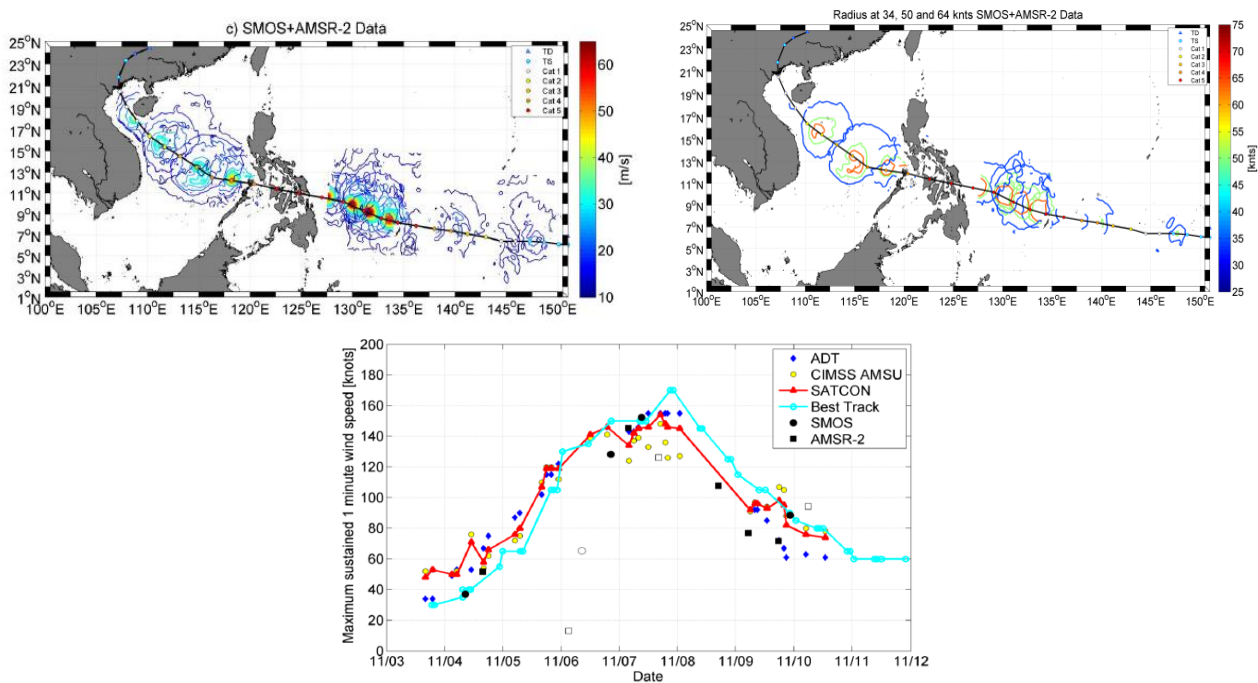
1006 Given the spatial resolution of SMOS, the wind speed estimated is more equivalent to a 10 minute
1007 sustained wind than to a 1 minute one, traditionally used by forecasters in the US. Using a 0.93 conversion
1008 factor from 1 mn to 10 mn winds (Harper et al., 2010), 1 minutes sustained winds can be estimated from
1009 SMOS. The evolution of the maximum sustained wind speed deduced from SMOS is compared to other
1010 estimates in Figure 22 bottom panels. SMOS estimate compares well with standard methods. The
1011 Advanced Dvorak Technique (ADT) utilizes longwave-infrared, temperature measurements from
1012 geostationary satellites to estimate tropical cyclone (TC) intensity. This step-by-step technique relies
1013 upon the user to determine a primary cloud pattern and measure various TC cloud top parameters in order
1014 to derive an initial intensity estimate. It continues to be the standard method for estimating TC intensity
1015 where aircraft reconnaissance is not available (all tropical regions outside the North Atlantic and
1016 Caribbean Sea), however it has several important limitations and flaws. The primary issue centers upon
1017 the inherent subjectivity of the storm center selection and scene type determination procedures.
1018 Secondly, learning the Dvorak Technique and its regional nuances and adjustments can take a significant
1019 time to master. As evidenced in Figure 22 bottom left panel, ASCAT A & B maximum winds never
1020 exceeded hurricane force for this extremely intense Category 5 typhoon.

1021 SMOS data provide a global coverage about every 3 days. During fast evolving storm events, SMOS
1022 swath can however miss interception with such fastly evolving storms or just capture a portion of the
1023 storm. In addition, SMOS data can be heavily contaminated in some areas by RFI, solar effects or land
1024 contamination. RFI are particularly problematic in the North west Pacific and in the Bay of Bengal.
1025 Combining SMOS and AMSR2 retrievals shall definitively help better characterizing high wind speed
1026 and storm events over the globe.

1027 As shown in Figure 22, for the Hayian case, SMOS estimate compares very well with standard
1028 methods. Nevertheless, the SMOS sampling along the complete life cycle of the storm is limited to 4
1029 usefull overpasses. Complementing the SMOS sampling with other sensors would be therefore certainly
1030 beneficial. With the recent developments of new methodologies to better retrieve surface wind speed in
1031 all weather conditions from X, C and L-band radiometer measurements from Space (Meissner and Wentz,

1032 2009; El-Nimri et al., 2010, Reul et al., 2012, Zabolotskikh, 2015) the synergy of passive low-microwave
 1033 frequency observations from space operating within the X to L-bands (AMSR2, WindSat, SMOS and
 1034 SMAP) can now be envisaged. The complementarity and added-value with scatterometer ones (ASCAT
 1035 & Ocat) and NWP products (ECMWF & NCEP) will be studied in more detailed in the frame of our
 1036 on-going study with the aim to produce new blended surface wind speed products including the SMOS
 1037 high wind speed data. As a first objective we started merging SMOS data and AMSR2 wind speed
 1038 retrievals and will further add the WindSat data and the future SMAP sensor ones. For AMSR2 high
 1039 wind speed retrieval under rain, we rely on the new methodology currently developed by Zabolotskikh
 1040 et al., 2015. SMOS intercepted Haiyan on the 7 Nov 2013 at 09:15Z while AMSR2 intercepted the
 1041 Typhoon the same day about 5 hours sooner at ~ 4:22 Z. Surface wind speed retrieved from both sensors
 1042 for these two passes were compared in Zabolotskikh et al., 2015 showing excellent agreement between
 1043 both estimates for the high wind region. Figure 23 is further illustrating the strength of the synergies and
 1044 data merging between these two sensors in term of increased spatial and temporal coverage for rapidly
 1045 evolving and intense storms such as Haiyan typhoon.

1046



1047 **Figure 23:** Top left: Surface Wind speed contours (from 0 to 70 m/s per 5 m/s steps) obtained by
 1048 combining SMOS and AMSR-2 retrieved fields over Haiyan. Top right: wind radii at 34 (blue), 50
 1049 (green) and 64 (orange) knts obtained from the merged wind fields. Bottom: same than in bottom plots
 1050 of Figure 23 but now showing SMOS (black circles) and AMSR-2 (black squares) retrieved maximum
 1051 winds.
 1052

1053 As shown in Figure 23, by combining both sensors, consistent and more continuous estimations of key
1054 parameters for describing the storm wind field structures in the context of improving NWP forecasts,
1055 such as radii at 34, 50 and 64 knots, and maximum sustained winds can now be provided and augmented.

1056 Note finally that an on-going effort are also conducted to demonstrate the utility, performance and
1057 impact of SMOS- STORM products on TC and ETC prediction systems in the context of maritime
1058 applications. Comparisons of the SMOS wind speed data with short range forecasts of 10m winds from
1059 the Met Office global model background are now performed to generate observed minus background
1060 values (O-B). The impact of assimilating SMOS wind speeds will be soon demonstrated by diagnosing
1061 changes to the mean global atmospheric analyses e.g. low-level wind field, pressure at mean sea level
1062 (PMSL), etc.. Comparing various forecast variables (e.g. wind, surface pressure, geopotential height)
1063 with quality-controlled observations valid at the same time/location and calculating the difference in root
1064 mean square (RMS) error between the trial and control values will be conducted to show how changes
1065 in the analysis as a result of assimilating SMOS wind speed observations affect global model forecasts
1066 (so-called global NWP index).

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1068

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